



# Network Address Translators (NATs) and NAT Traversal

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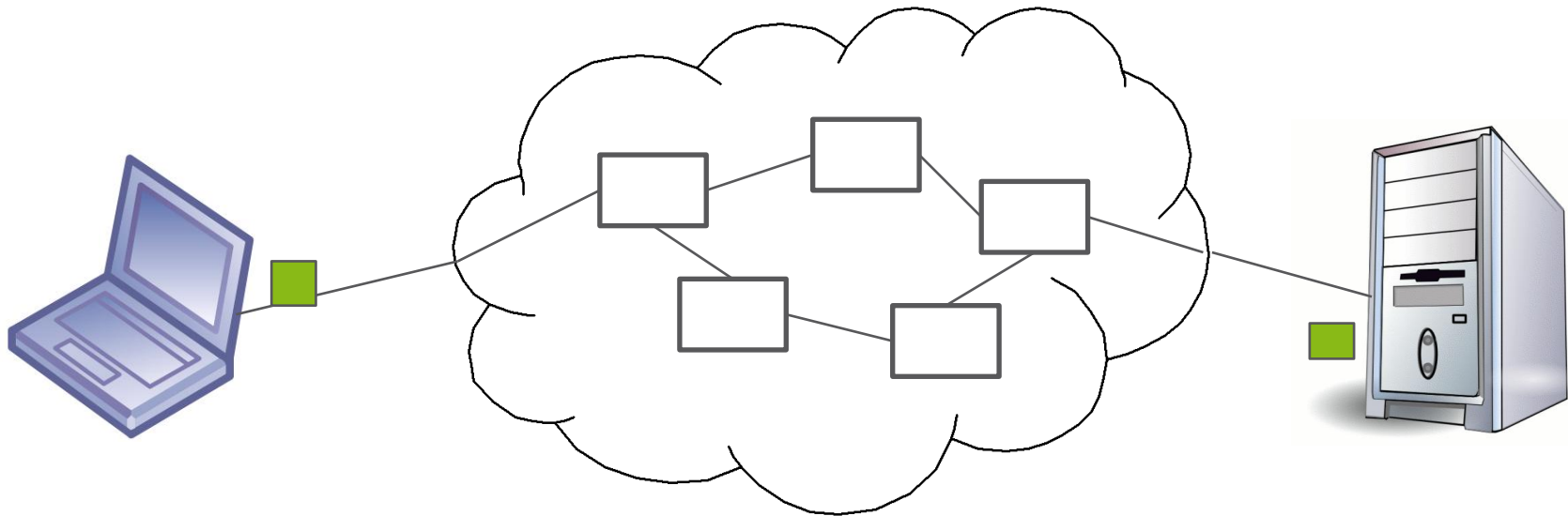
Ericsson Research Finland, NomadicLab

# Outline

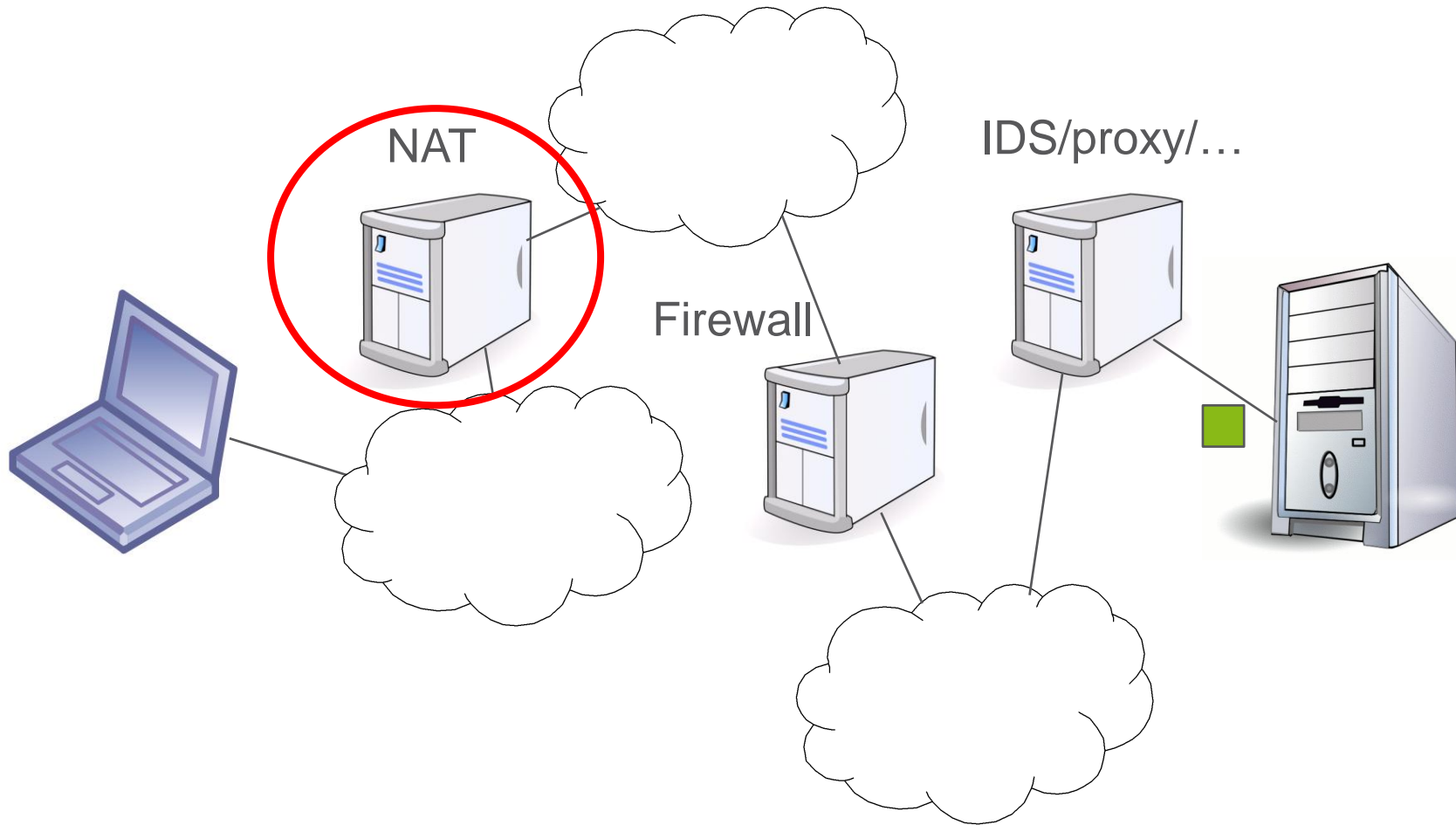
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- › Introduction to NATs
- › NAT Behavior
  - UDP
  - TCP
- › NAT Traversal
  - STUN
  - TURN
  - ICE
  - Others
- › NAT64

# Internet Back in the Good Old Days

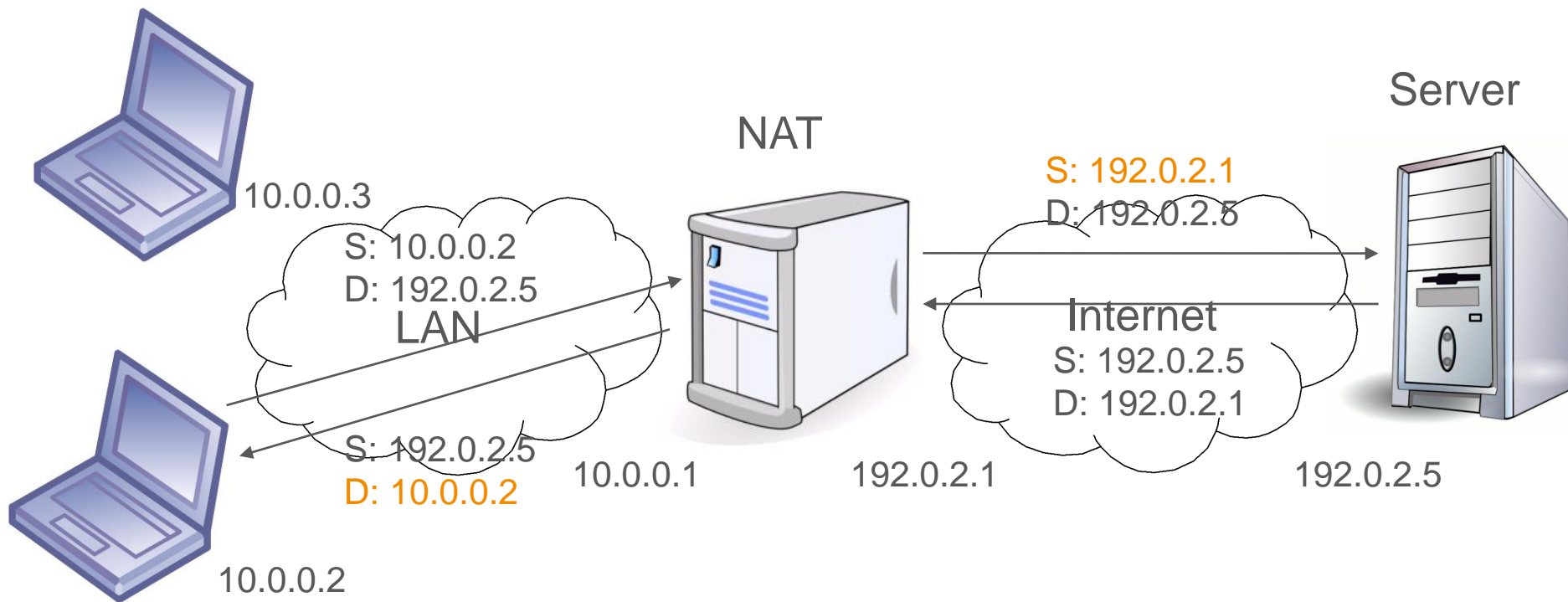


# Internet Today (in practice)



# Origin of NATs

- › Created to resolve the IPv4 address exhaustion problem
- › Designed with the web in mind
  - Client/server paradigm



# Different Kind of NATs

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- › "Basic" Network Address Translator
  - Translates just the IP address in the packets
  - Requires multiple addresses from the NAT
    - › One for each host concurrently communicating with the outside networks
  - Very uncommon today
- › Network Address and Port Translator (NAPT)
  - Uses also transport layer (TCP/UDP) ports for multiplexing connections
  - Most of the current NATs are of this type
- › NAT64
  - More about this later

# Side-effects of NATs

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- › Hosts behind NATs are not reachable from the public Internet
  - Sometimes used to implement security
  - Breaks peer-to-peer (as opposed to client/server) applications
- › NATs attempt to be transparent
  - Troubleshooting becomes more difficult
- › NATs have state → single point of failure
- › NATs may try to change addresses also in the payload (and possibly break application layer protocols)
- › NATs' behavior is not deterministic
  - Difficult to build applications that work through NATs

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# IETF NAT Behavior Recommendations

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- › Two RFCs describing how NATs **should** behave
  - RFC 4787: Network Address Translation (NAT) Behavioral Requirements for Unicast UDP
  - RFC 5382: NAT Behavioral Requirements for TCP
- › Classification of current NAT behaviors
  - Existing terminology was confusing
    - › Full cone, restricted cone, port restricted cone, and symmetric
- › Recommendations for NAT vendors
  - BEHAVE-compliant NATs are deterministic
- › Lots of NATs implemented before the recommendations
  - Various kind of behavior found in the wild
  - Not all new NATs comply even today

# Outline

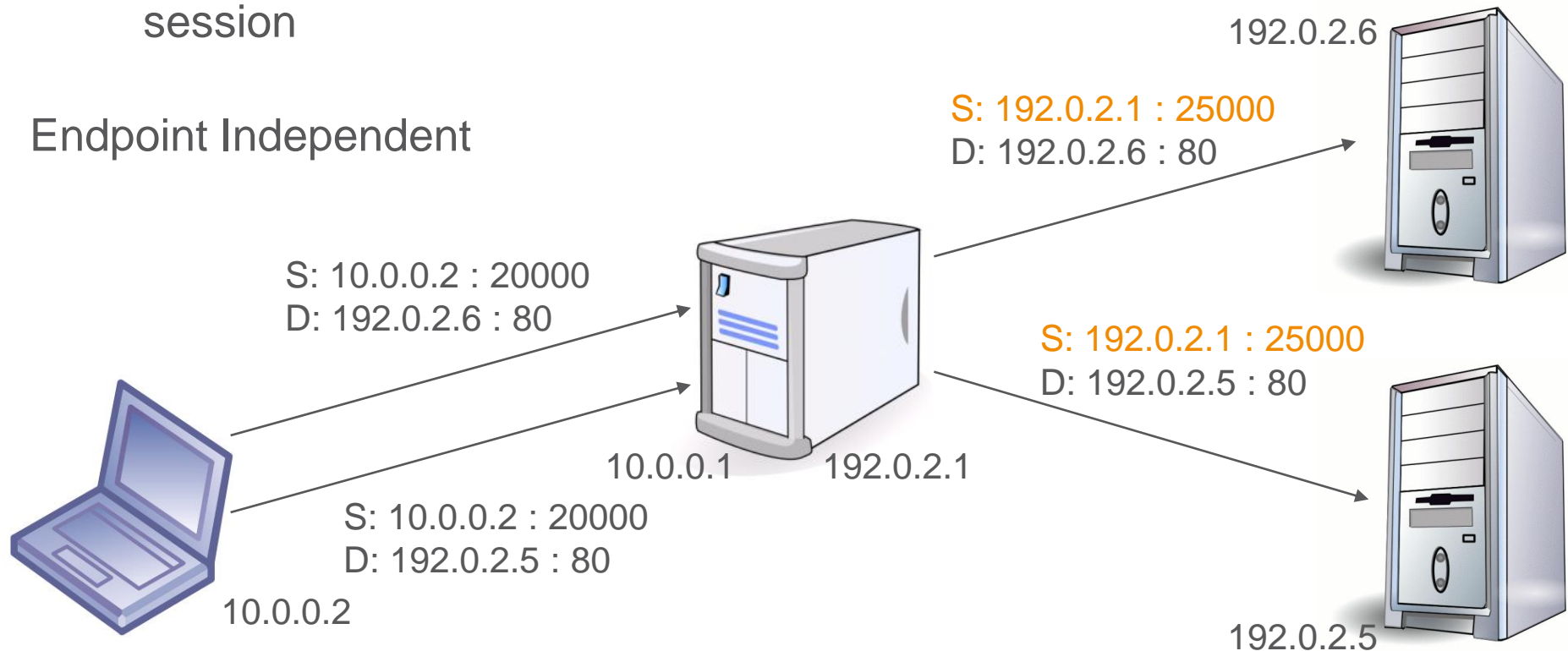
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# Mapping Behavior

- › For session originated on the same address and port
  - Endpoint independent: same mapping to different sessions
    - › MUST use it
  - Address dependent: same mapping to sessions to the same host
  - Address and port dependent: a mapping only applies to one session

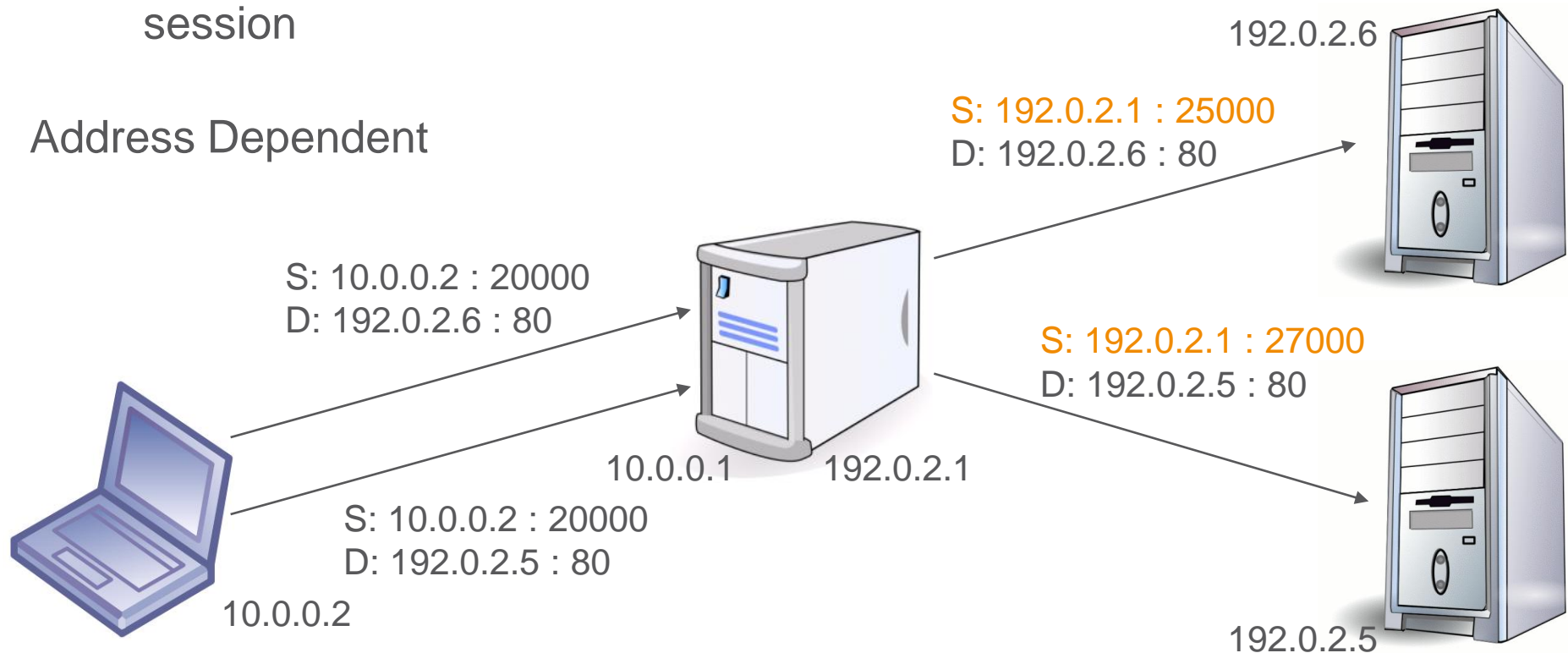
## Endpoint Independent



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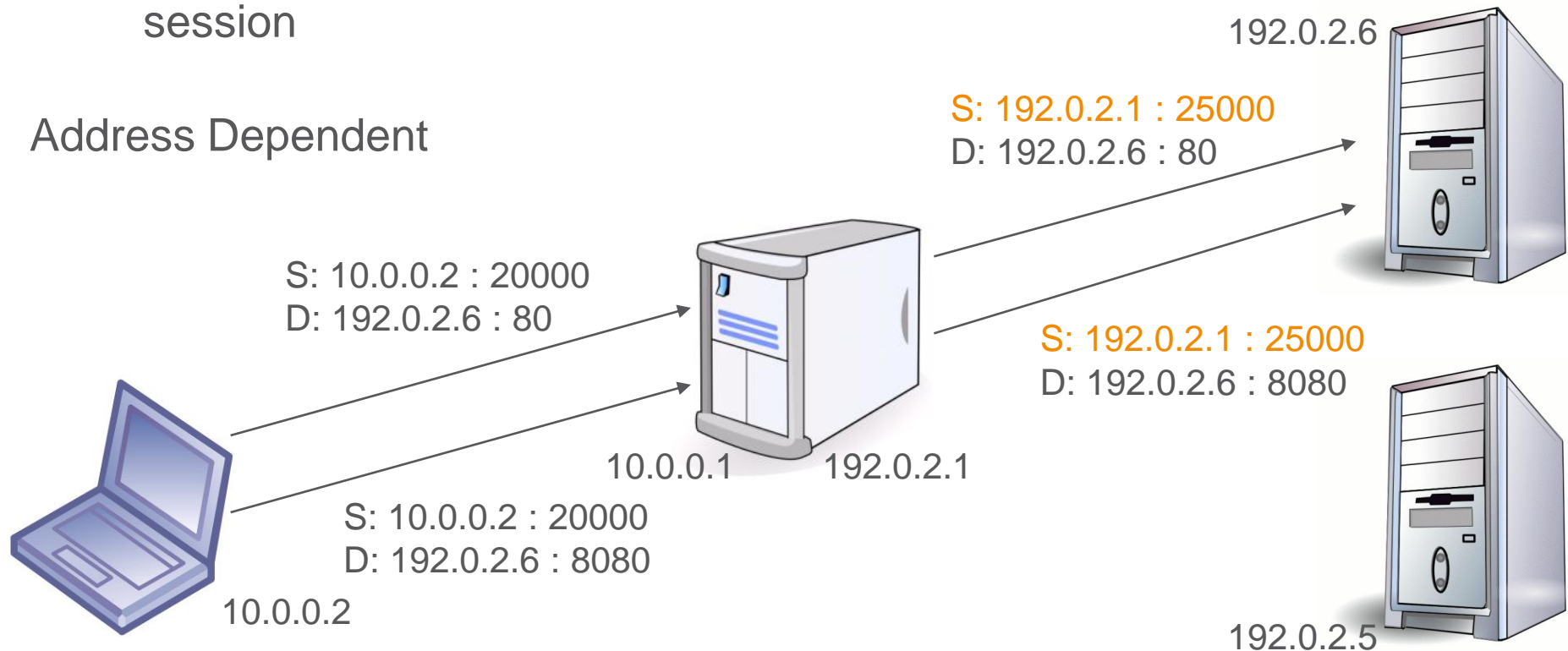
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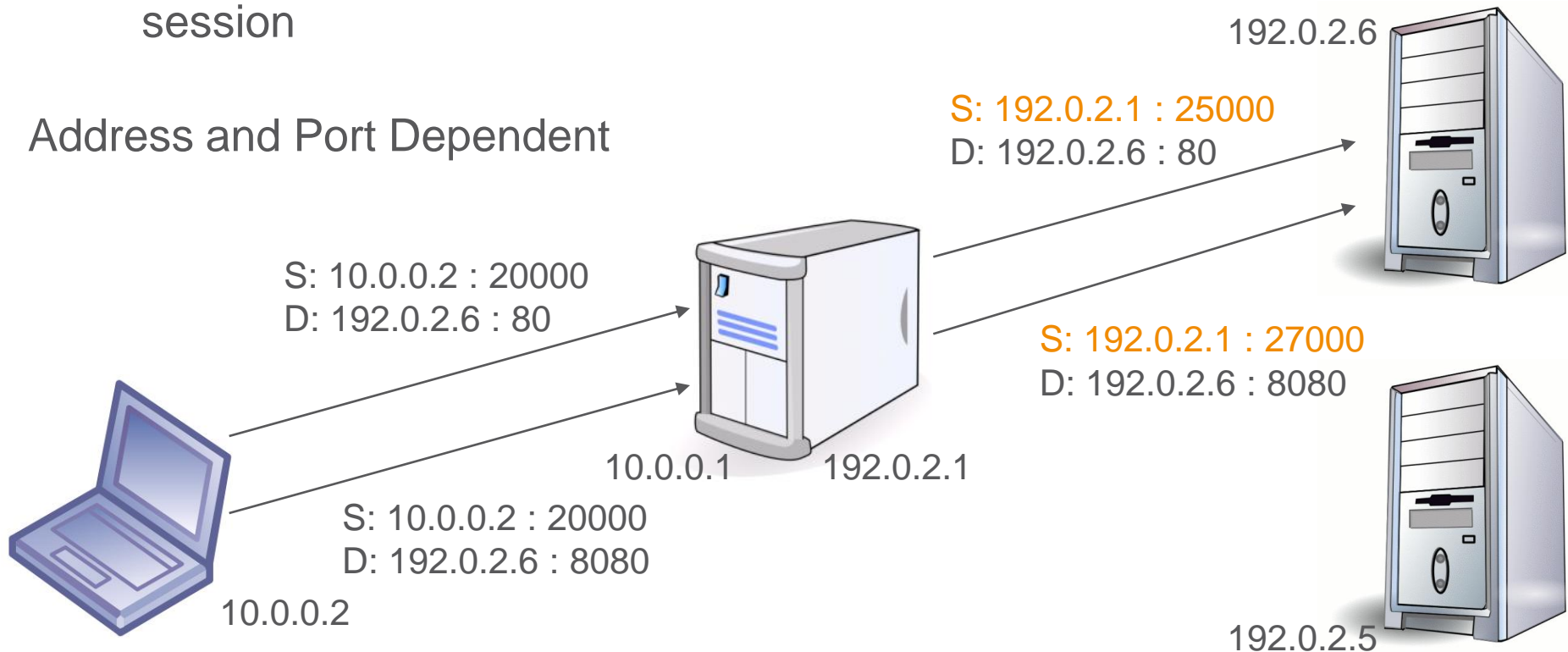
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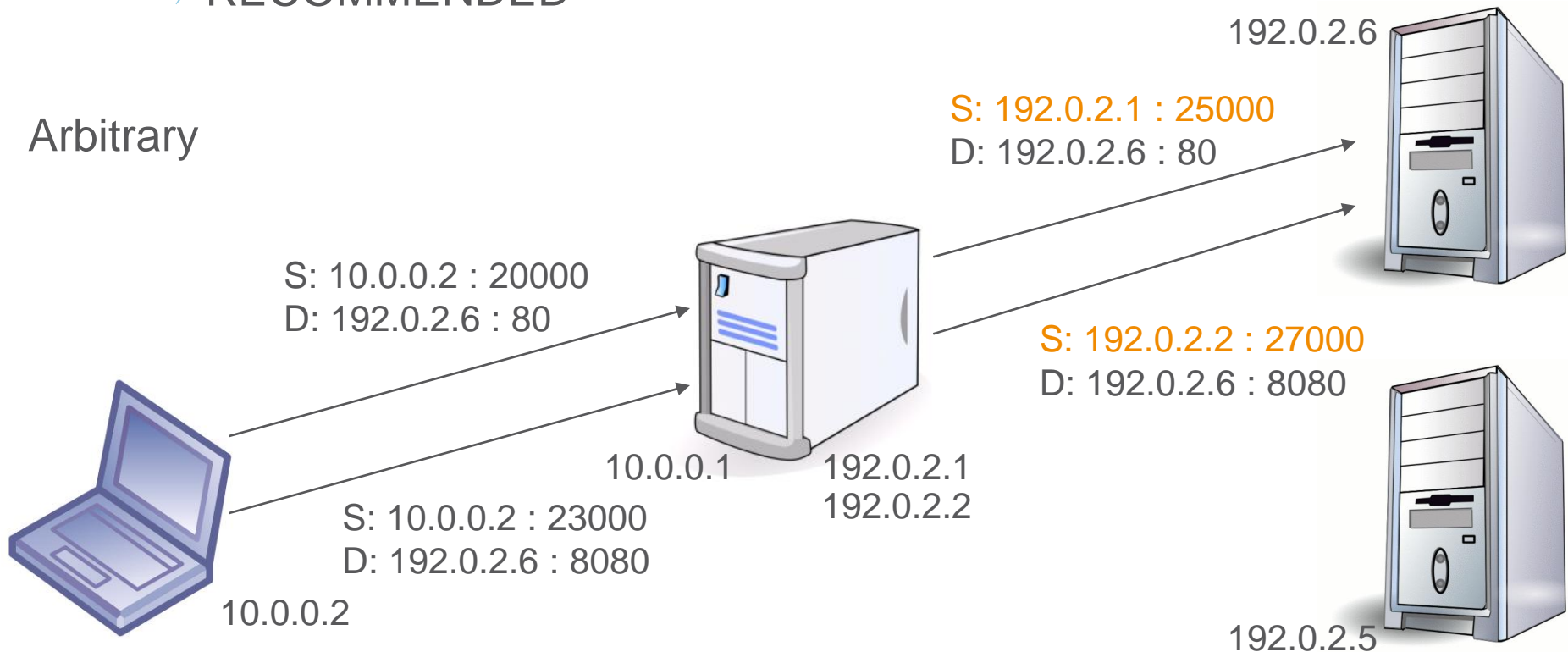
# IP Address Pooling Behavior

## › NATs with a pool of external IP addresses

- Arbitrary: an endpoint may have simultaneous mappings corresponding to different external IP addresses of the NAT
- Paired: same external IP address of the NAT

### › RECOMMENDED

Arbitrary



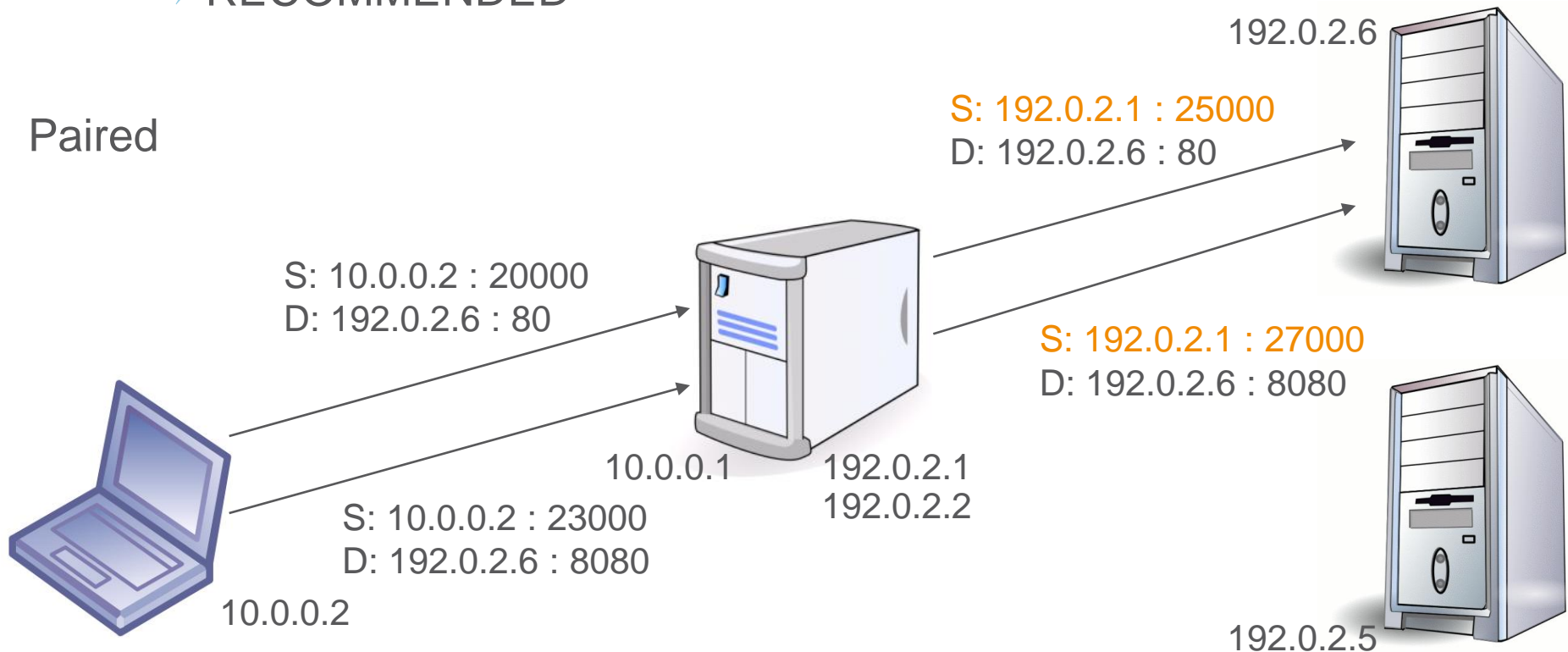
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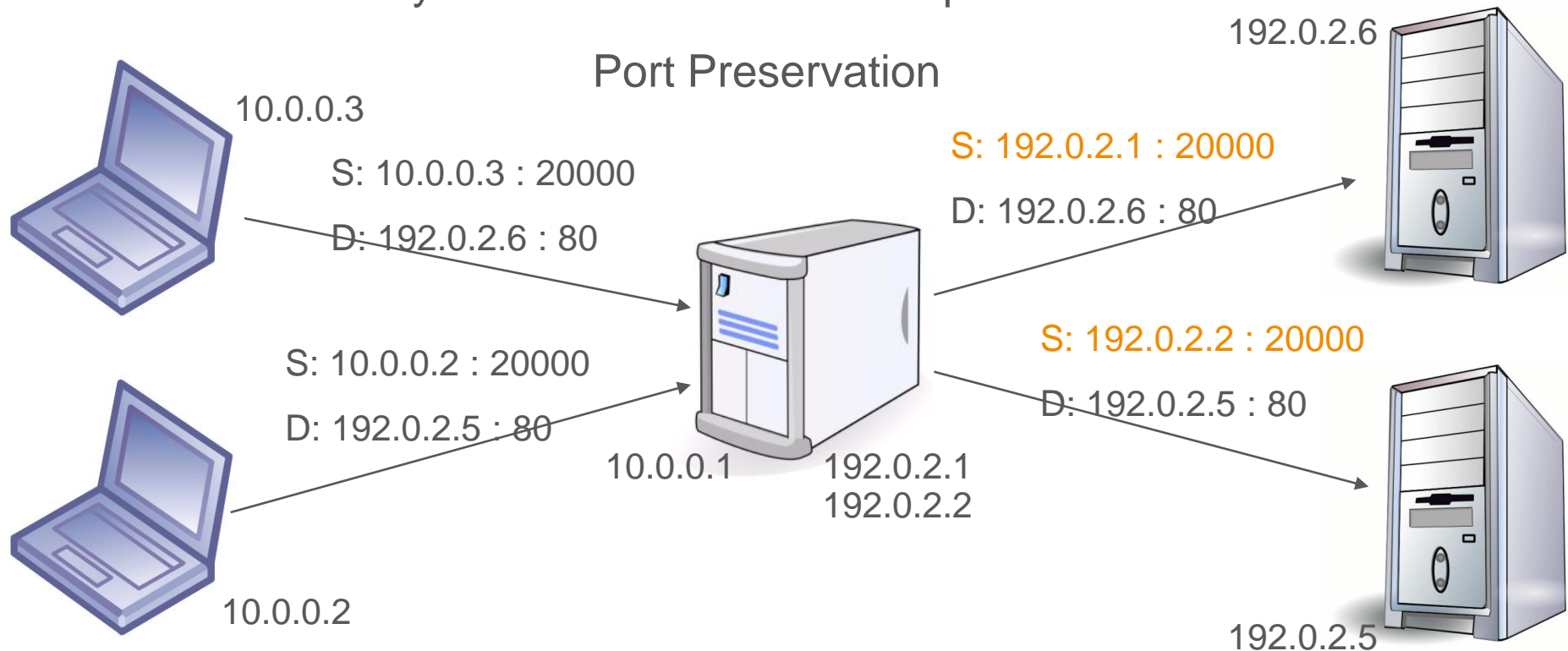
Paired





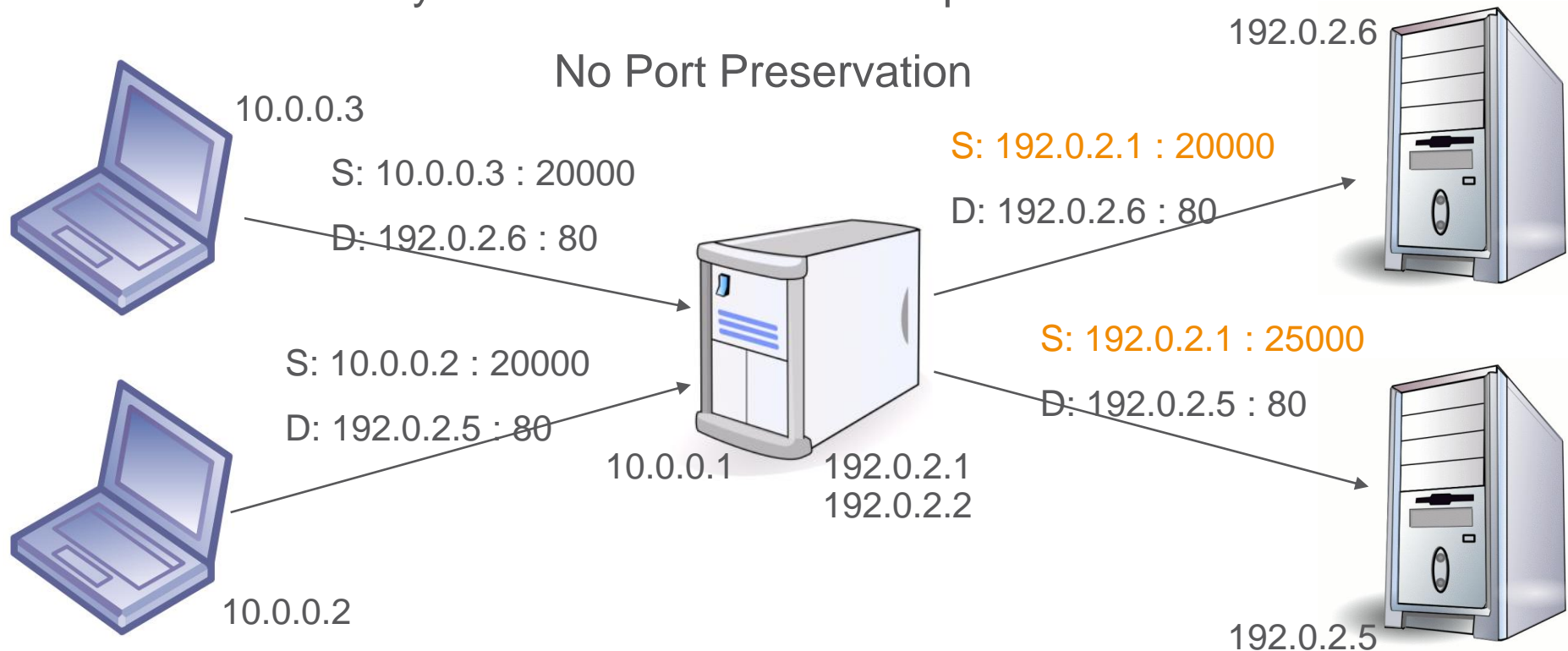
# Port Assignment

- › Port preservation: preserves the port as long as there are available IP addresses in the NAT's pool
- › Port overloading: the port is preserved always, even without available IP addresses in the NAT's pool
  - The NAT relays on the source of the response



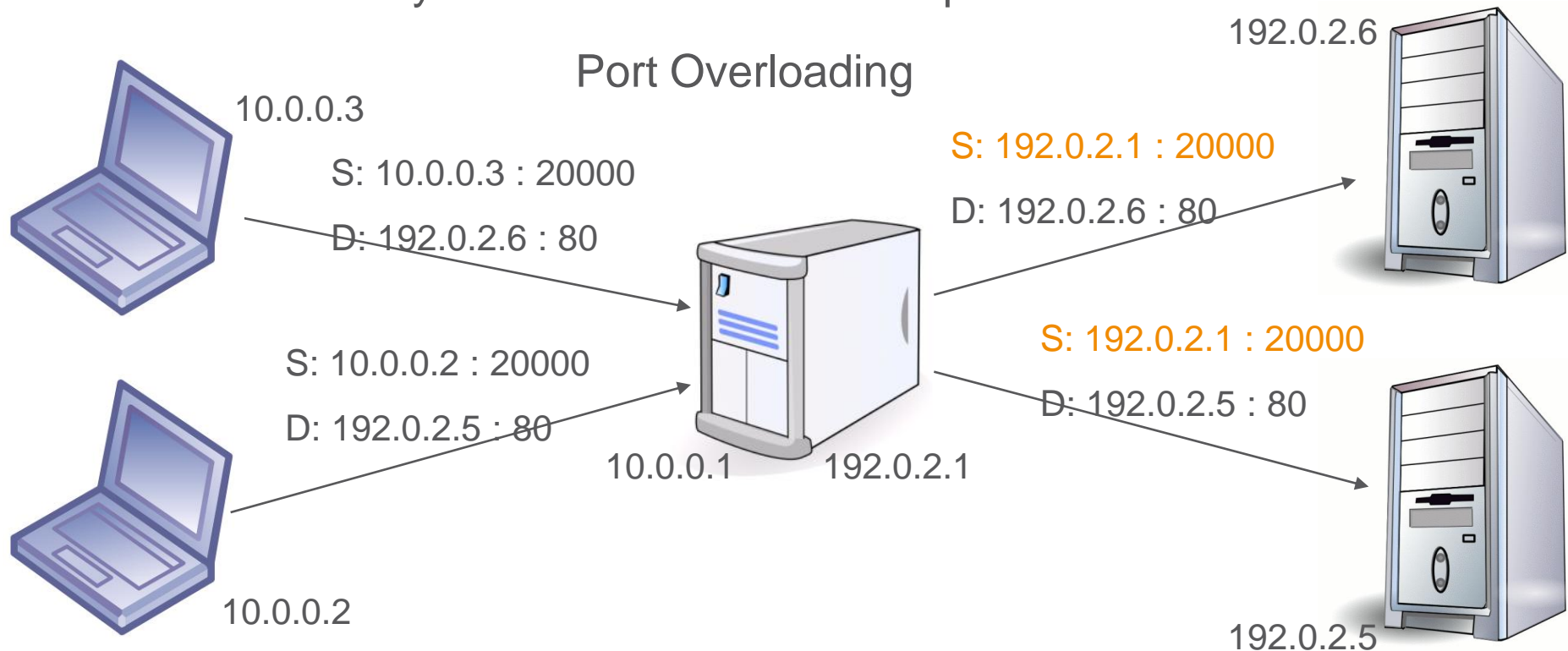
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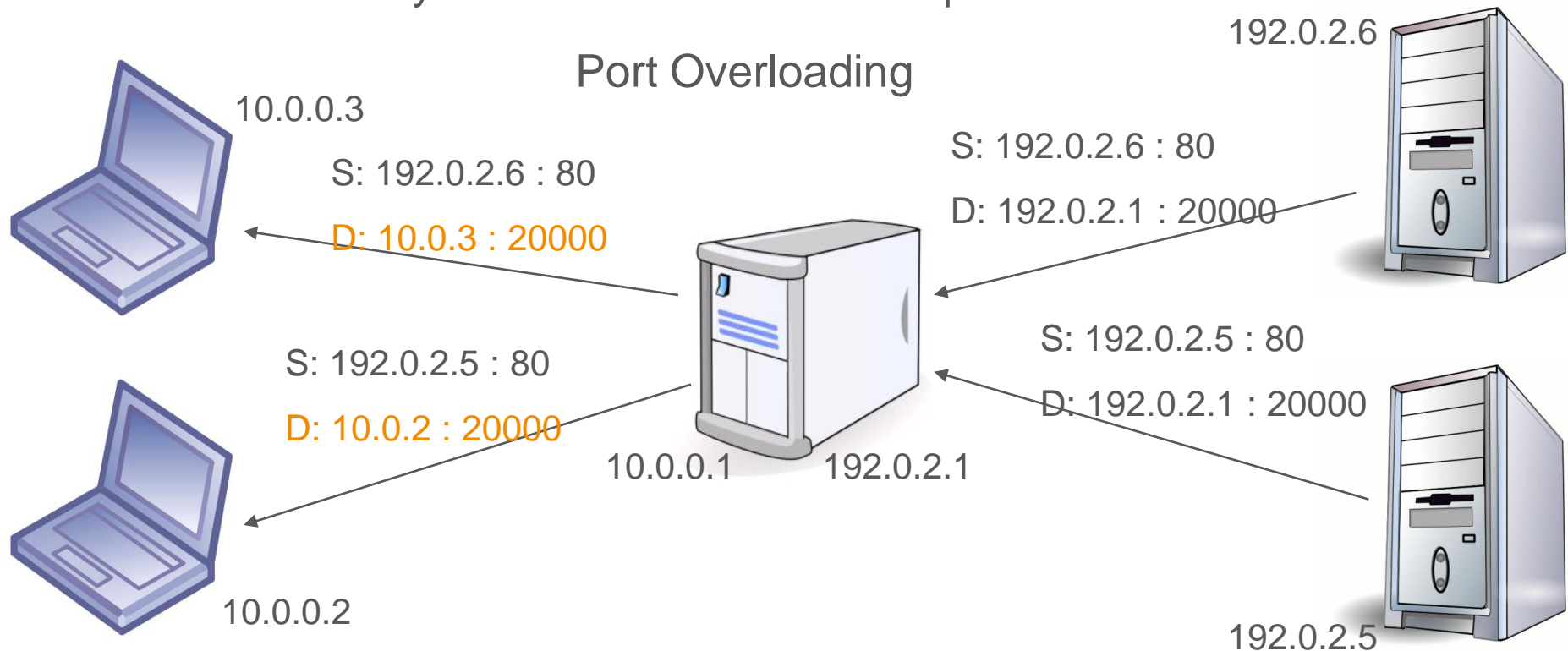
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# Port Ranges

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- › 1- 1023                      Well known
- › 1024 – 49151              Registered
- › 49152 – 65535              Dynamic / Private
  
- › RECOMMENDED to preserve the following ranges
  - 1 – 1023
  - 1024 – 65535
  
- › Port overloading **MUST NOT** be used
  - Problems when two internal hosts connect to the same external host
- › It is RECOMMENDED that NATs preserve port parity (even/odd)
- › No requirement for port contiguity

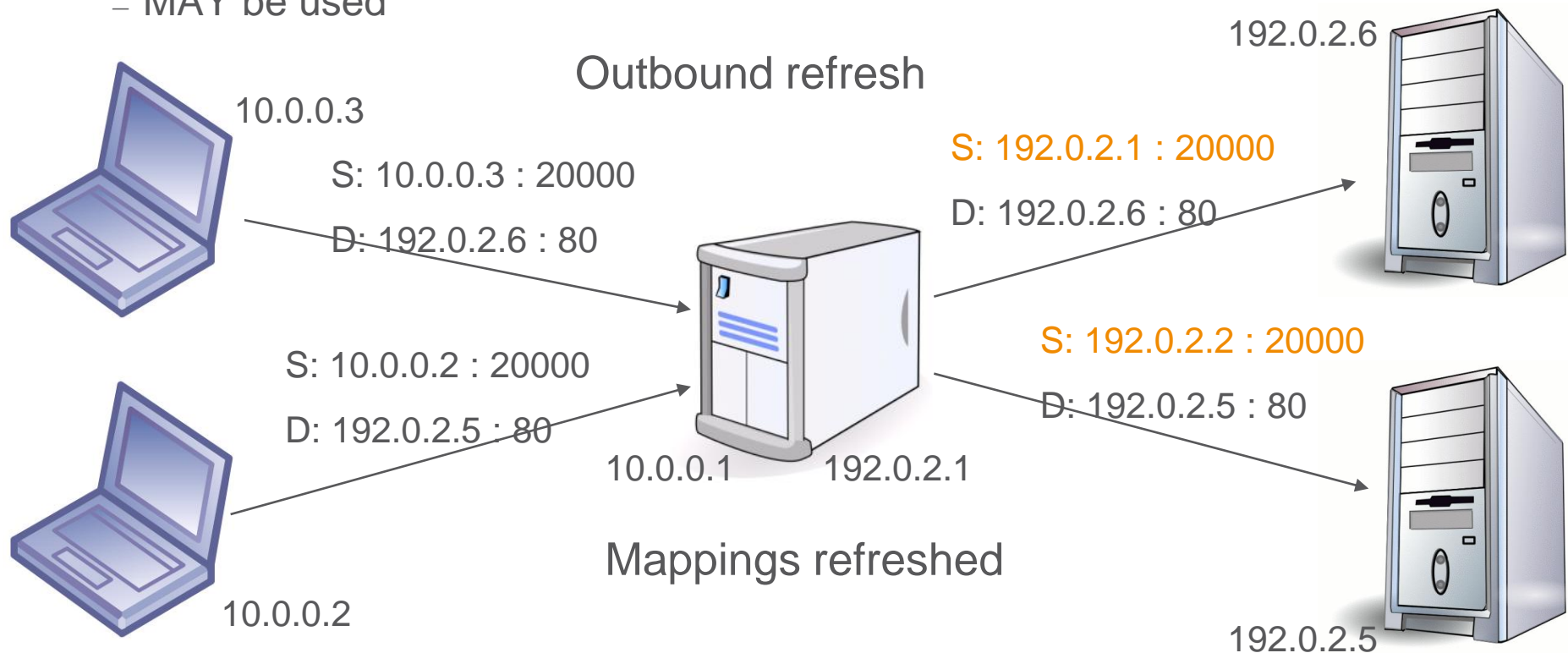
# Mapping Timeout

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- › NAT mappings need to be eventually discarded in order to re-use NAT's public address-port pairs
  - Usually idle connections result in mapping timeout
- › NAT UDP mapping **MUST NOT** expire in less than 2 minutes
- › NATs can have application-specific timers
  - Well-known ports
- › It is **RECOMMENDED** to use more than 5 minutes
  - However, ~100 seconds is common and even shorter than 30 second timeouts have been seen in practice

# Mapping Refresh

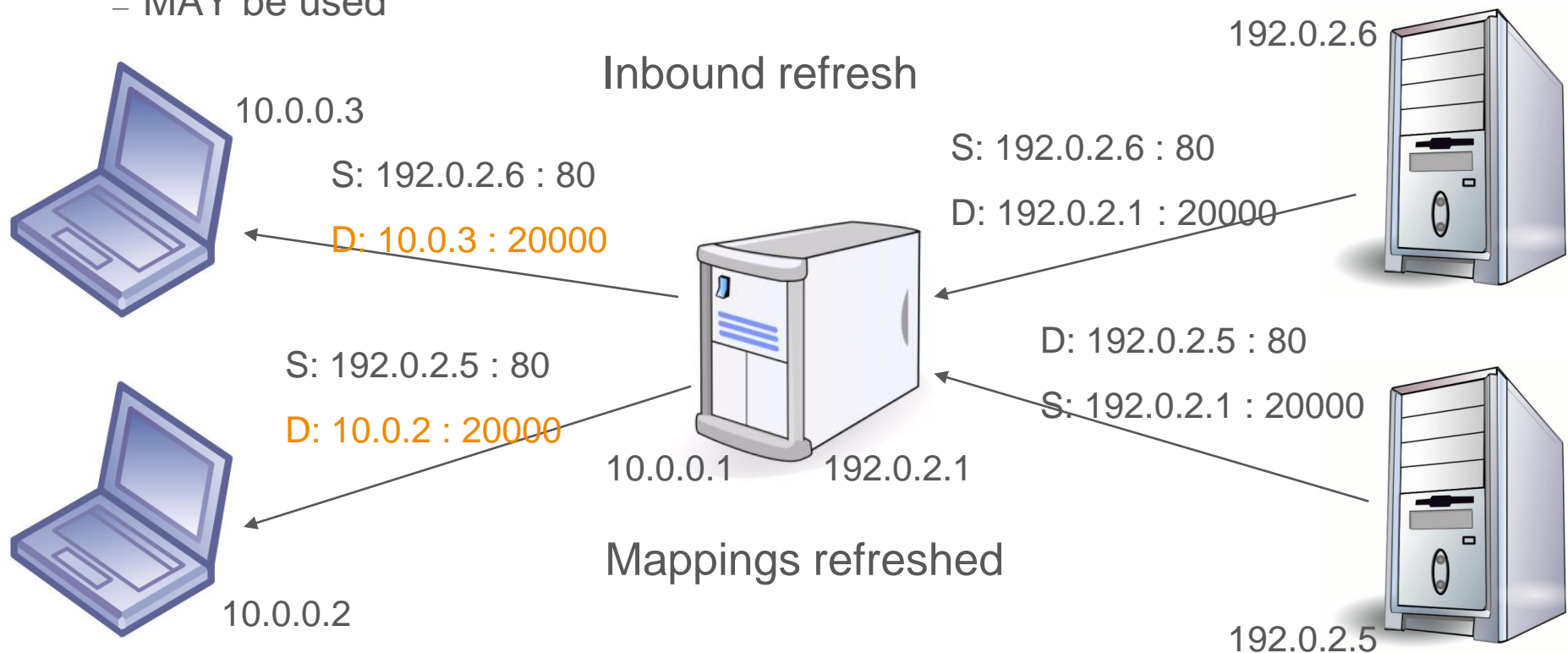
- › NAT outbound refresh: packets from the internal to the external interface
  - MUST be used
- › NAT inbound refresh: packets from the external to the internal interface (attackers may keep the mapping from expiring)
  - MAY be used





# Mapping Refresh

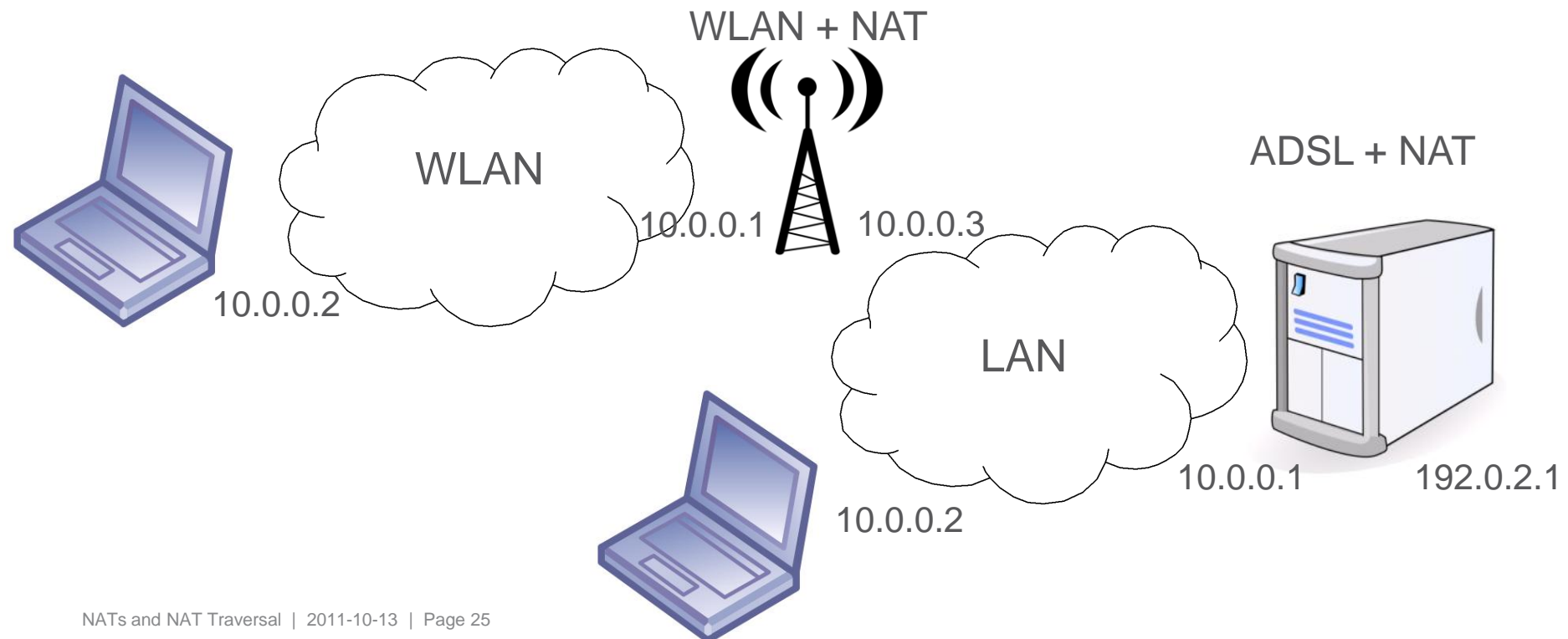
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# External Address Spaces

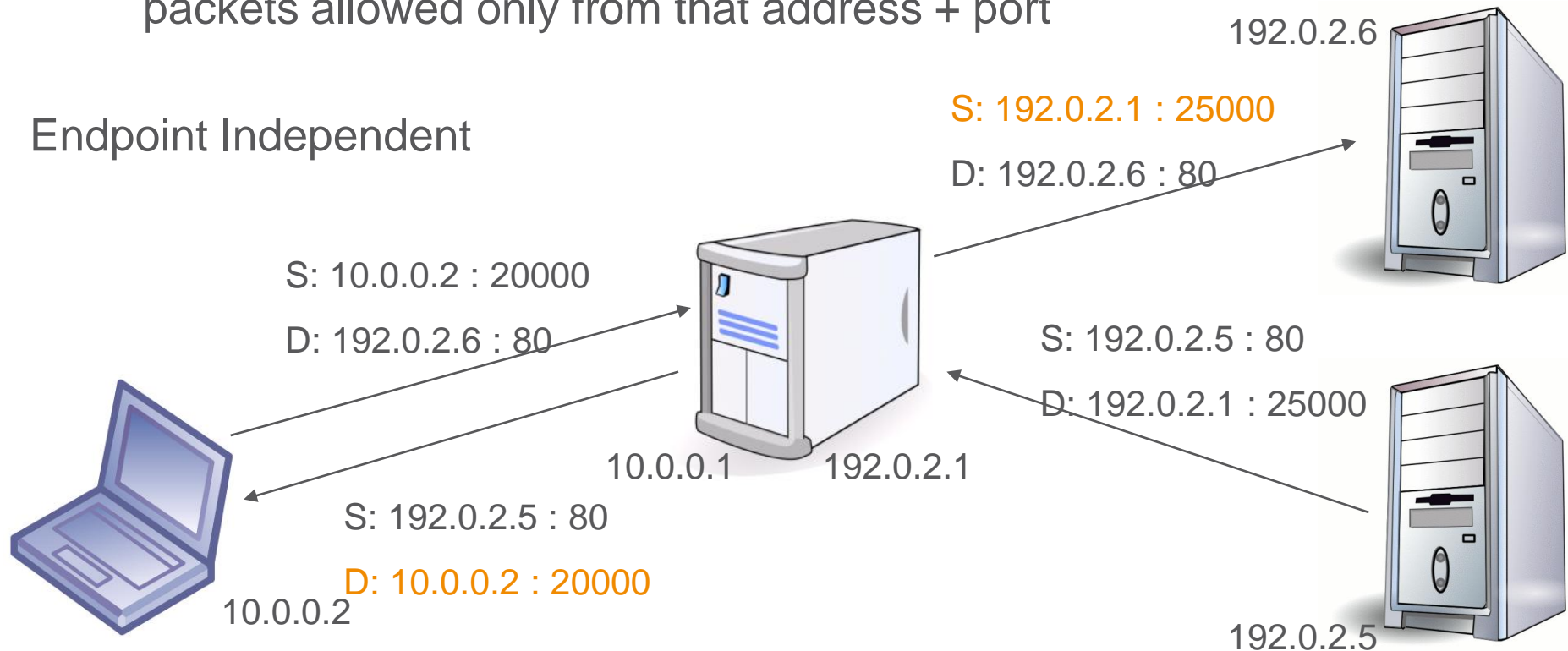
- › NATs MUST be able to handle external address spaces that overlap with the internal address space
  - Internal nodes cannot communicate directly with external nodes that have the same address as another internal node
  - However, they can use STUN techniques



# Filtering Behavior

- › Endpoint independent: any packets allowed back
- › Address dependent: external hosts can return packets
- › Address and port dependent
  - Packets sent to an address + port → incoming packets allowed only from that address + port

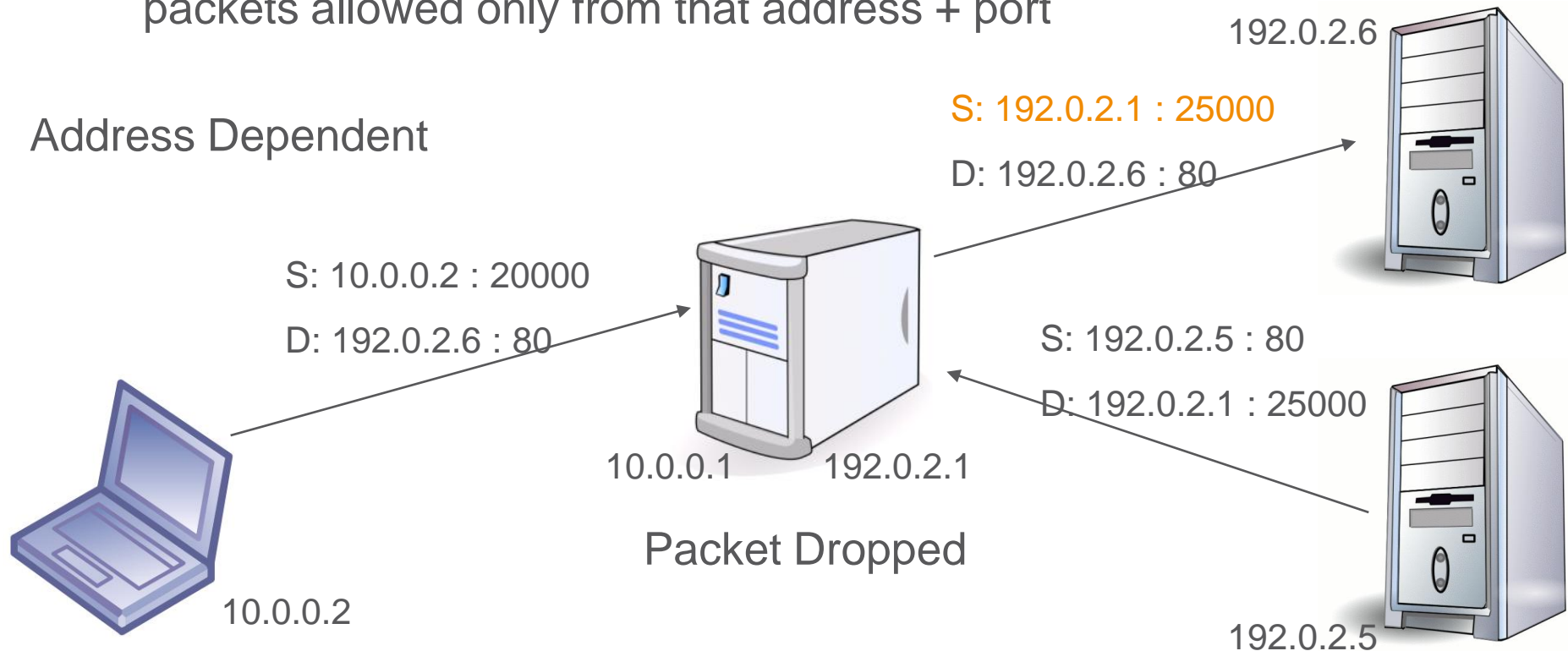
## Endpoint Independent



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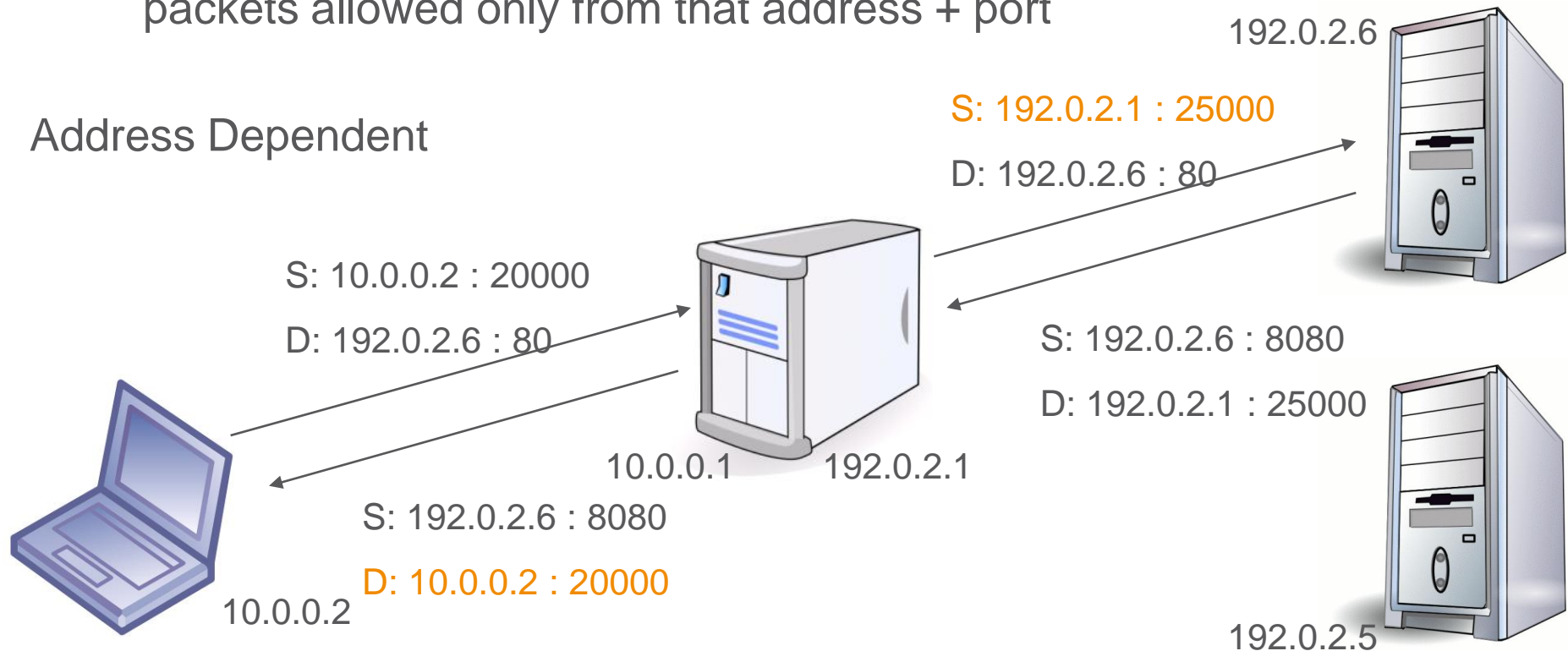
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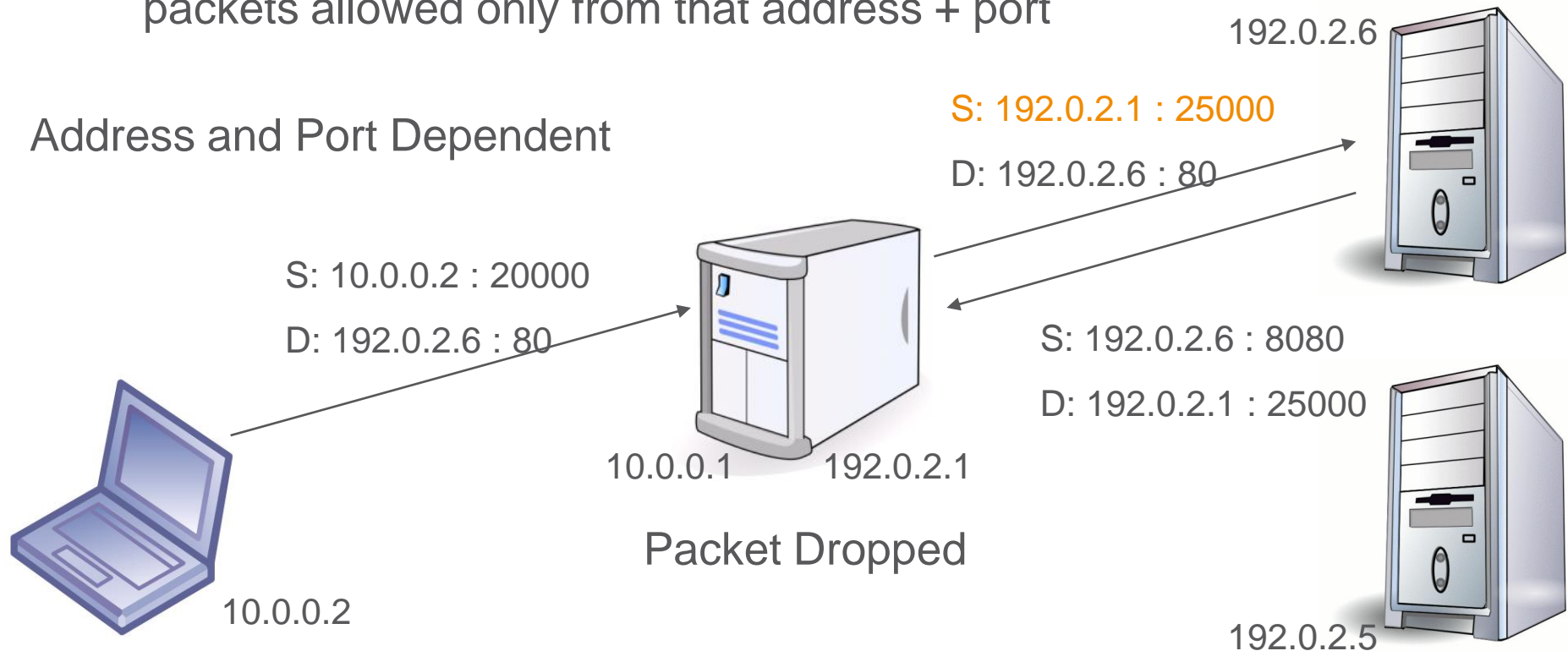
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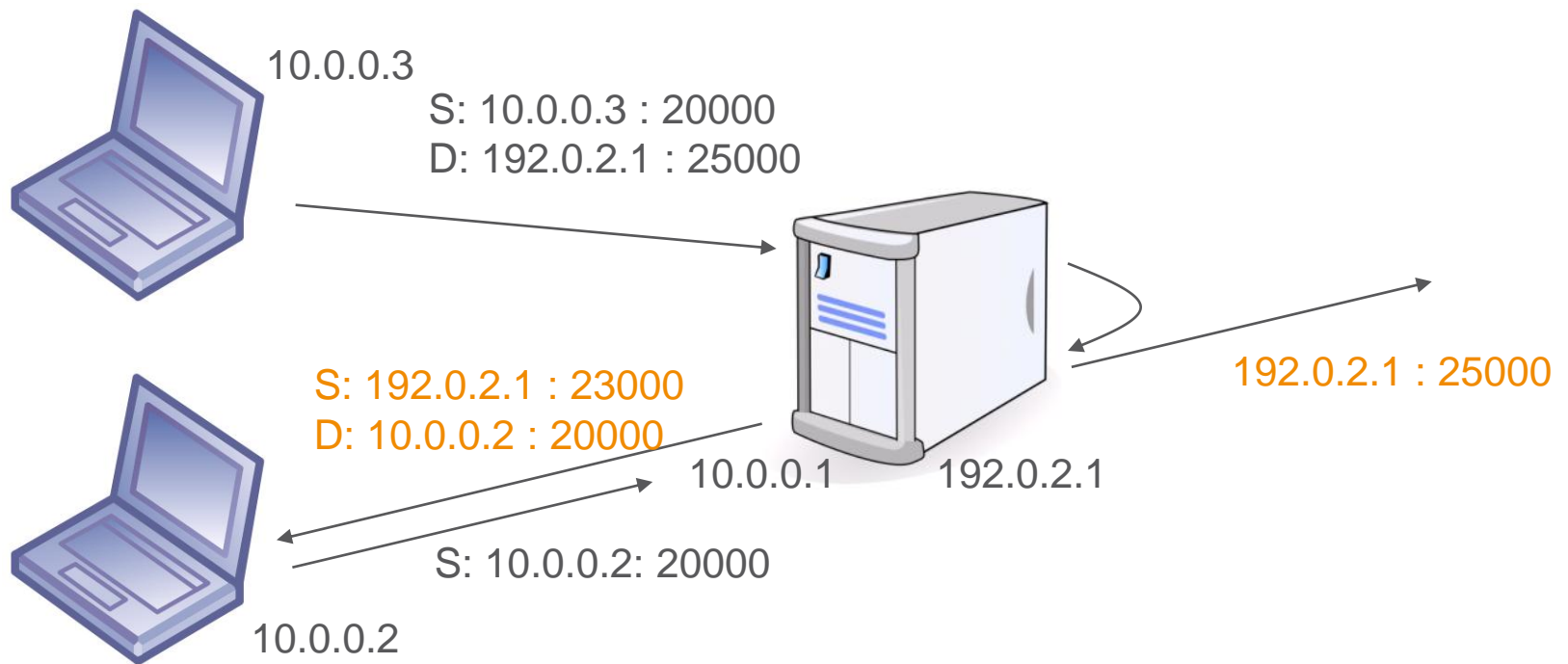
# Filtering Behavior

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- › Endpoint independent filtering is RECOMMENDED
  - Opens up ports for attackers
- › If a more stringent filtering is required
  - Address dependent filtering is RECOMMENDED

# Hairpinning

- Internal hosts communicate using external addresses
  - MUST be supported



# Outline

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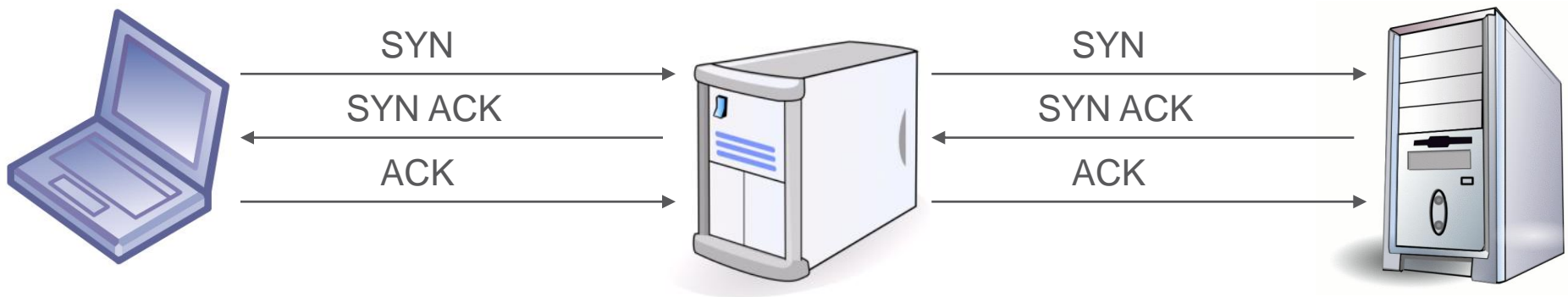
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# TCP Connection Establishment

- › Three-way handshake
  - MUST be supported
- › Simultaneous open
  - MUST be supported

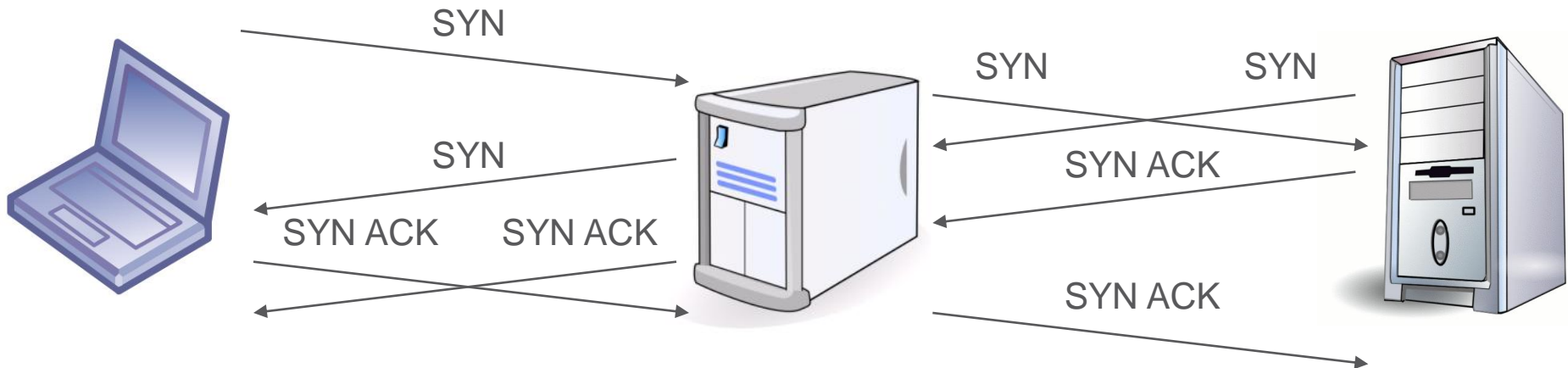
## Three-way Handshake



# TCP Connection Establishment

- › Three-way handshake
  - MUST be supported
- › Simultaneous open
  - MUST be supported

## Simultaneous Open



# NAT TCP Session Timeout

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- › Established connections
  - MUST NOT be less than 2 hours and 4 minutes
  - By default TCP keepalives are sent every 2 hours
- › Partially opened or partially closed connections
  - MUST NOT be less than 4 minutes
- › TIME\_WAIT timeout not specified

# Outline

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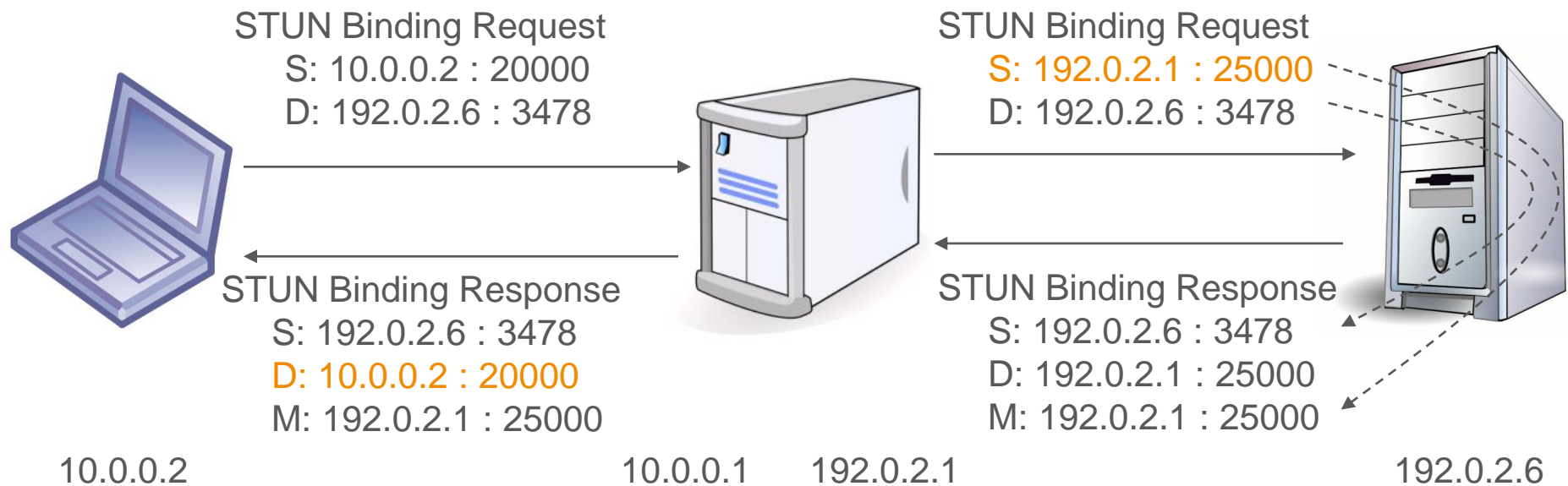
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# STUN

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- › Session Traversal Utilities for NAT (RFC 5389)
- › Originally a protocol between endpoints and “reflectors”
- › Revised specification defines usages
  - Binding discovery using STUN servers
  - NAT keepalives
  - Authentication (short-term password and long term credentials)
- › TLV encoded
- › Can run on UDP, TCP, or TLS/TCP
- › STUN server discovered using DNS SRV
- › Transactions
  - Request/response
  - Indications (not delivered reliably)
- › Can be multiplexed with other protocols
  - Two first bits are zeros
  - Magic cookie
  - FINGERPRINT attribute

# Binding Discovery



M: STUN (XOR-)MAPPED-ADDRESS TLV

# XOR-MAPPED-ADDRESS

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- › Some NATs inspect packets and translate IP addresses known to them
  - Try to be smart and “fix” the application layer protocol
- › The mapped address is obfuscated in the response so that NAT does not recognize it
  - Simple XOR operation

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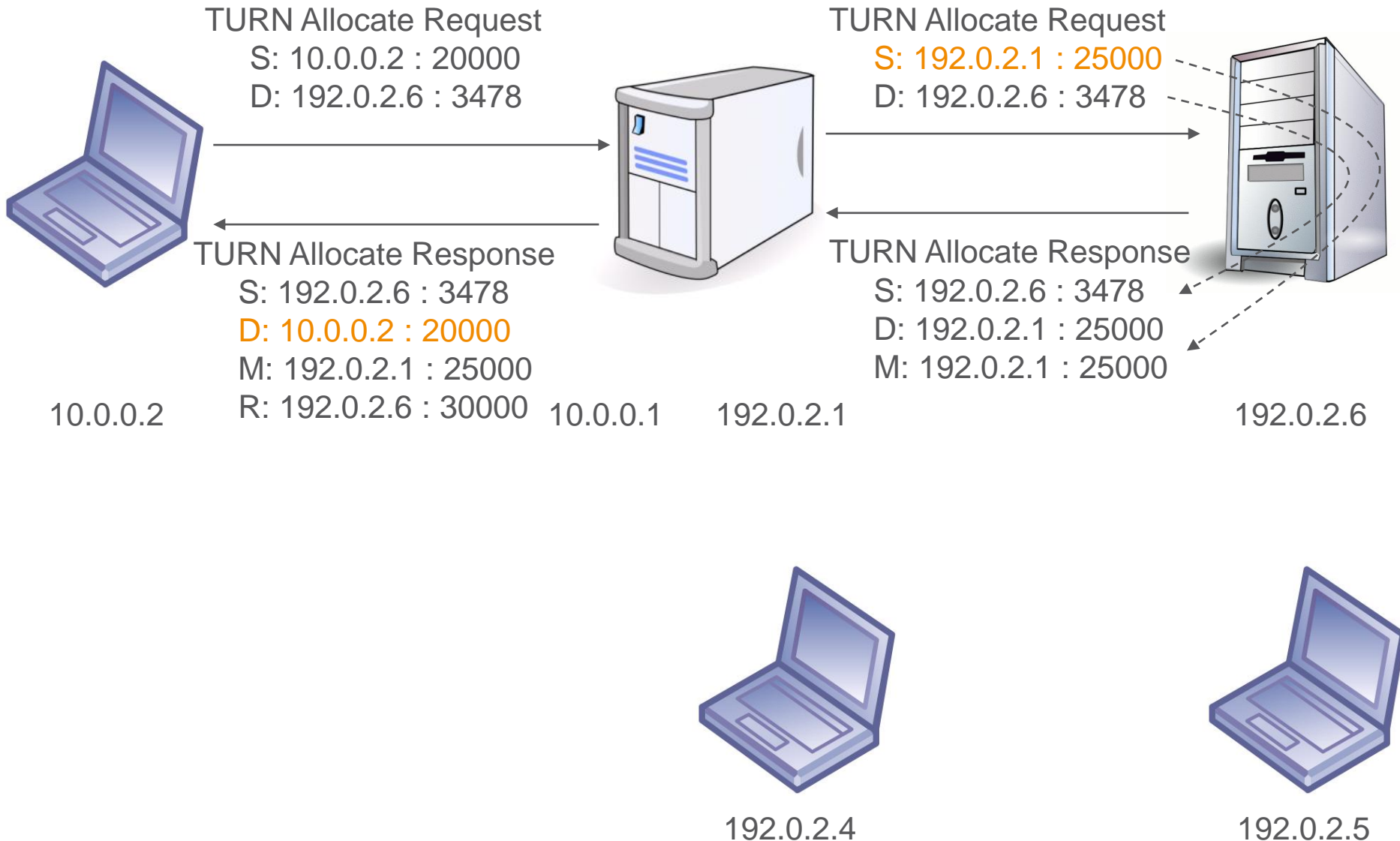
# TURN

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- › Traversal Using Relays around NAT: Relay Extensions to Session Traversal Utilities for NAT (RFC 5766)
- › Allocate request / response
  - Allocate an external “relayed” address at the relay
  - Responses carry the mapped and the relayed address
- › Send and Data indication
  - STUN messages containing relayed data
  - Send data to a remote endpoint through the relay
  - Data received from remote endpoints through the relay
- › Channels
  - Send and receive relayed data with minimalistic (32-bit) header
- › Permissions

# Relay Operations

R: 192.0.2.6 : 30000

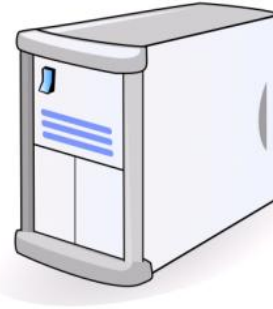


# Relay Operations

R: 192.0.2.6 : 30000



10.0.0.2



10.0.0.1

192.0.2.1



192.0.2.6

Packet Dropped

The client needs to set a permission in the relay in order to receive data through it

Equivalent to a NAT with:

Address dependent filtering policy

Endpoint independent mapping

S: 192.0.2.4 : 27000

D: 192.0.2.6 : 30000



192.0.2.4



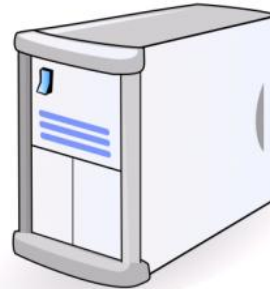
192.0.2.5

# Relay Operations

R: 192.0.2.6 : 30000



10.0.0.2



10.0.0.1

192.0.2.1



192.0.2.6

Packet Dropped

S: 192.0.2.5 : 27000

D: 192.0.2.6 : 30000

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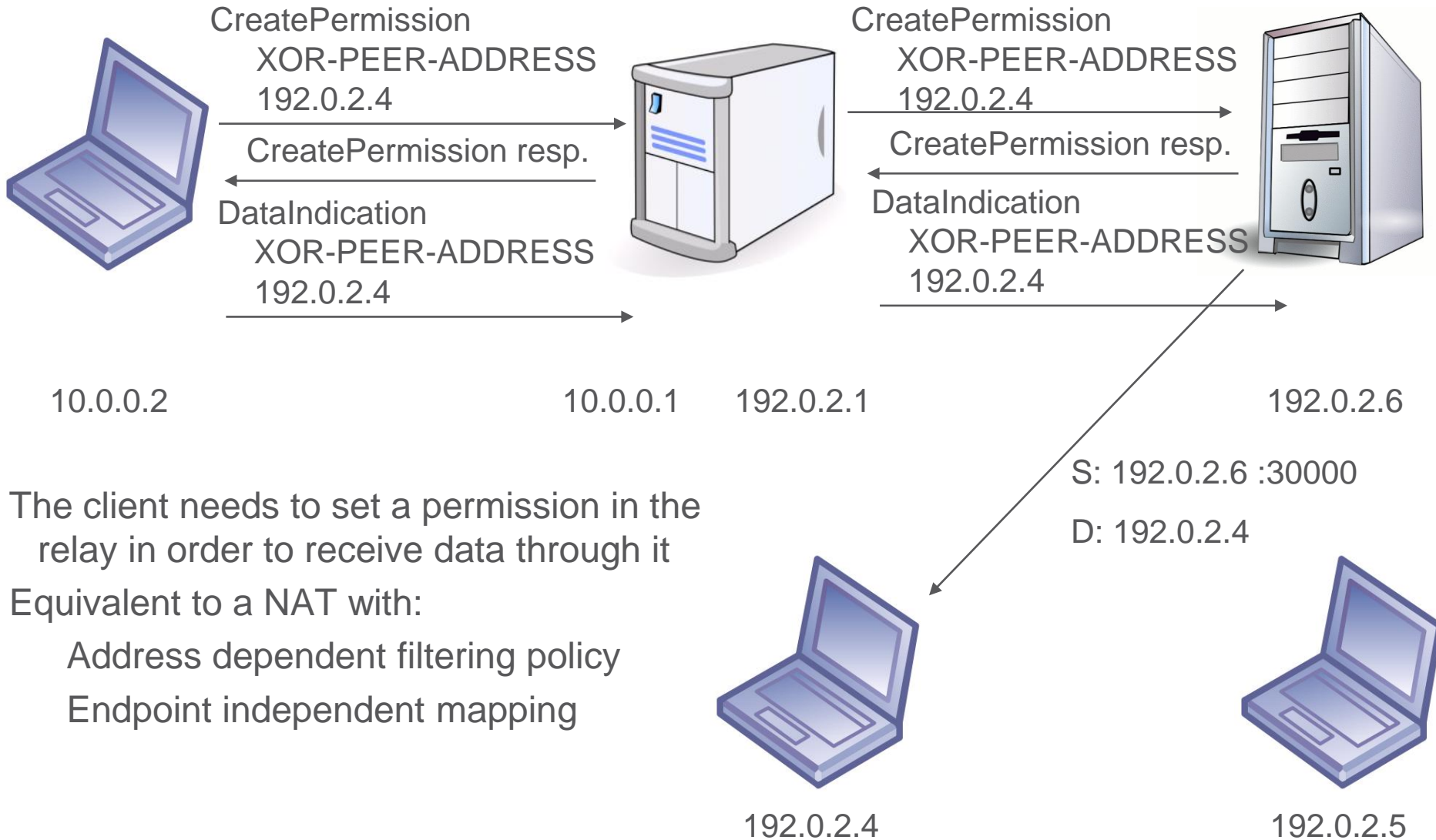
192.0.2.4



192.0.2.5

# Relay Operations

R: 192.0.2.6 : 30000



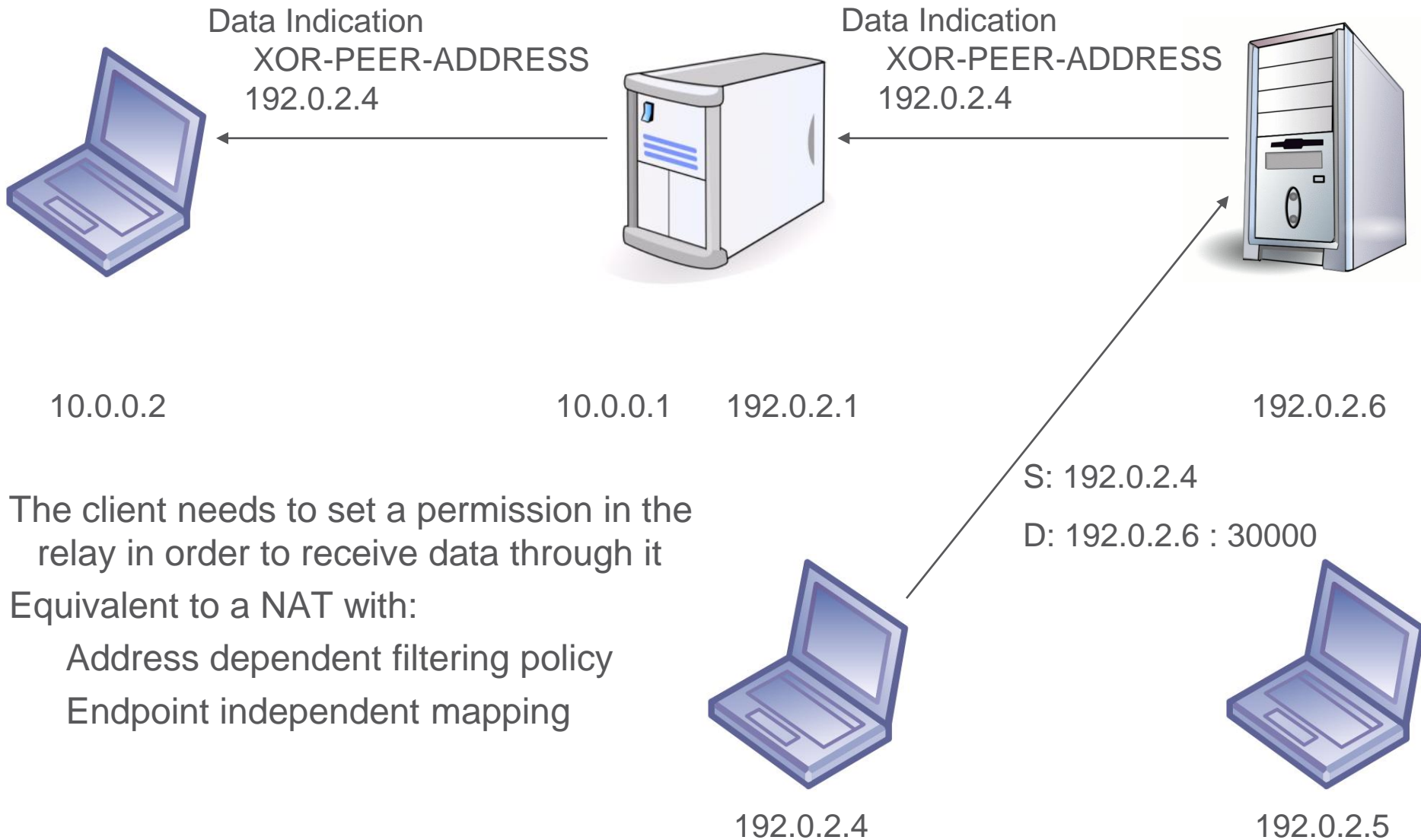
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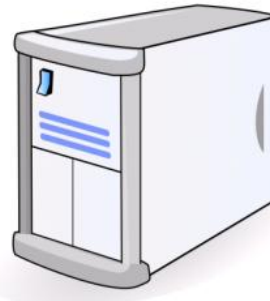


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10.0.0.1

192.0.2.1



192.0.2.6

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# ICE

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- › Interactive Connectivity Establishment : A Protocol for Network Address Translator Traversal for Offer/Answer Protocols (RFC 5245)
- › Uses and extends STUN and TURN protocols
- › Overall procedure:
  - Endpoints gather all the addresses they can
    - › Using e.g. STUN and/or TURN
  - Addresses (candidates) are exchanged with the peer
  - Connectivity checks are run between the candidates
  - The highest priority candidate pair that works is selected for use

# Gathering Addresses

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- › Address types
  - Host candidates
  - Server-reflexive candidates
  - Relayed candidates
  - Peer-reflexive candidates
- › Duplicated addresses are removed
- › Foundation: used to freeze addresses (related to connectivity checks)
  - Same type
  - Bases with the same IP address
  - Same STUN server

# Prioritizing Addresses

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$$\text{Priority} = 2^{24} (\text{type preference}) + 2^8 (\text{local preference}) + 2 (256 - \text{component ID})$$

- › Type preference [0-126]: preference for the type of candidate (e.g., server reflexive)
- › Local preference [0-65535]: preference for the interface the candidate was obtained from (e.g., multihomed hosts)
- › Component ID [1-256]: for media with multiple components (e.g., RTP and RTCP)

# Connectivity Checks

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- › Five states for a pair:
  - Waiting, in progress, succeeded, failed, frozen
- › Periodic checks and triggered checks
  - Periodic checks performed in priority order
  - Incoming check may cause a triggered check
- › Connectivity is checked with STUN Binding Requests
  - Carry a concatenation of user names and the remote password

# ICE Roles

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## › Controlling agent

- Agent that generates the initial offer
- Selects which pair to eventually use
  - › Implementation specific stopping criteria
  - › USE-CANDIDATE attribute

## › Controlled agent

- Generates checks and responds to them like the controlling agent
- Waits for the controlling agent to decide which candidate to use

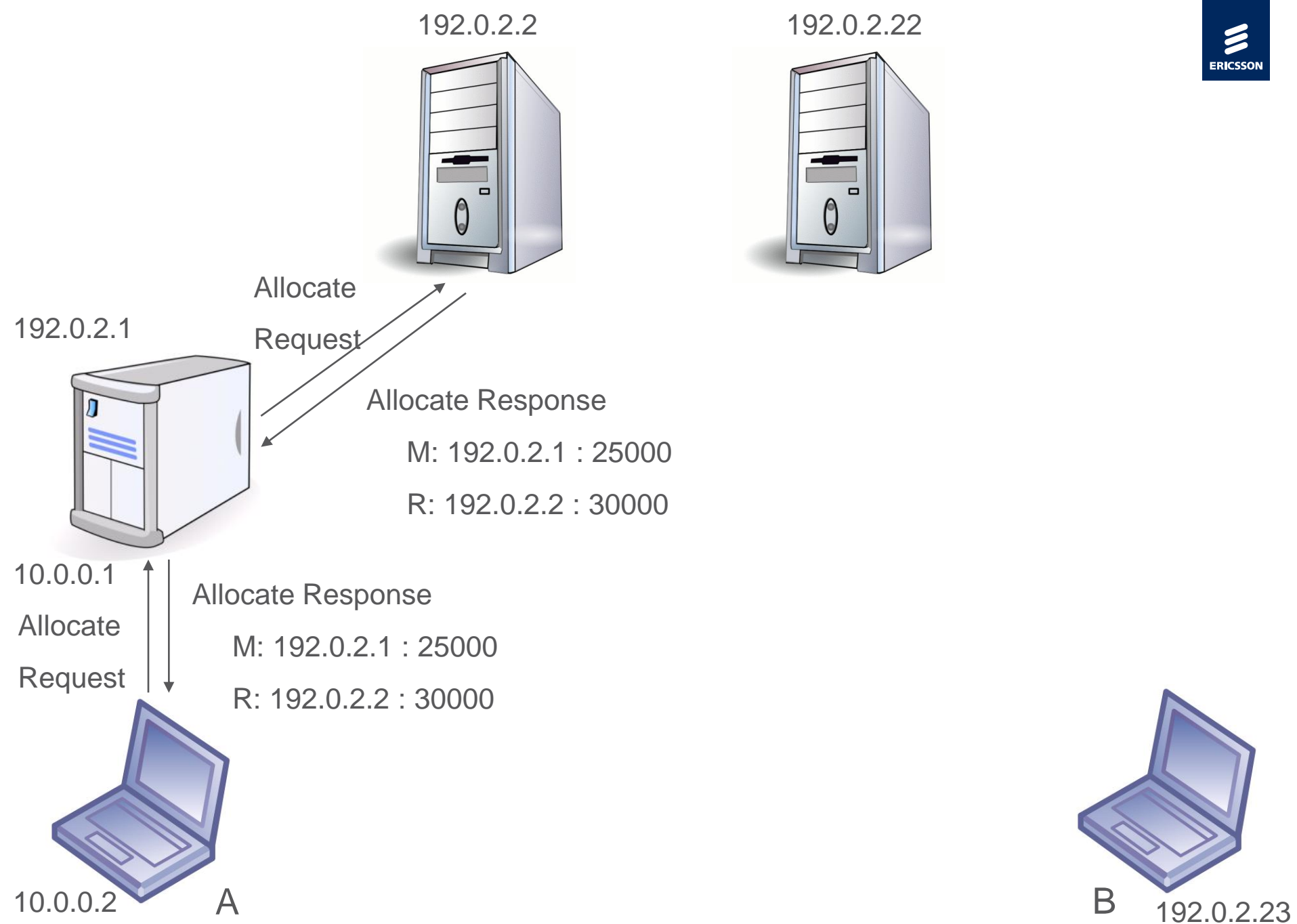
## › ICE lite agents

- Know they are not behind a NAT
  - › e.g., PSTN gateways, conferencing servers
- Always in controlled role
- Just respond to checks

# ICE Example (1)

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- › One endpoint is behind a NAT
- › One endpoint has a public IP address
- › Endpoints use TURN servers



Host candidate:  
10.0.0.2 : 20000  
Server reflexive:  
192.0.2.1 : 25000  
Relayed:  
192.0.2.2 : 30000

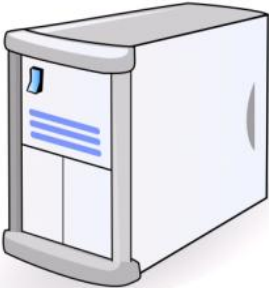
192.0.2.2



192.0.2.22



192.0.2.1

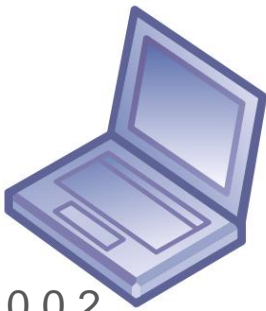


10.0.0.1

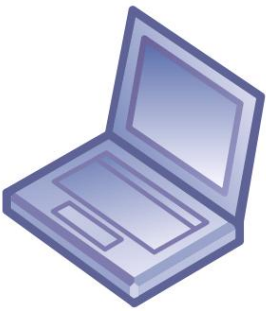
Allocate Response

M: 192.0.2.1 : 25000  
R: 192.0.2.2 : 30000

INVITE (offer)



10.0.0.2



192.0.2.23



Host candidate:  
10.0.0.2 : 20000  
Server reflexive:  
192.0.2.1 : 25000  
Relayed:  
192.0.2.2 : 30000

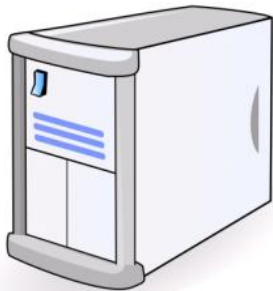
192.0.2.2



192.0.2.22



192.0.2.1



10.0.0.1



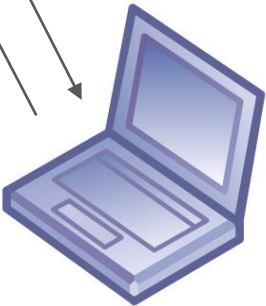
10.0.0.2

Allocate  
Request

Allocate Response

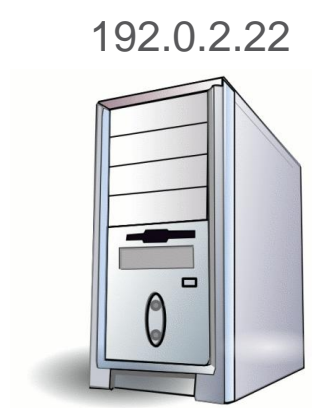
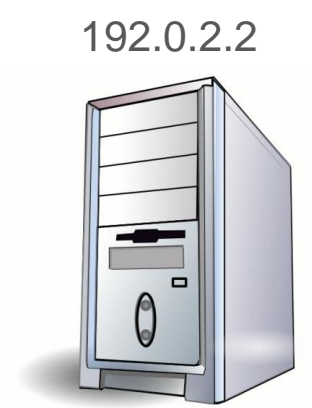
M: 192.0.2.23 : 35000

R: 192.0.2.22 : 45000

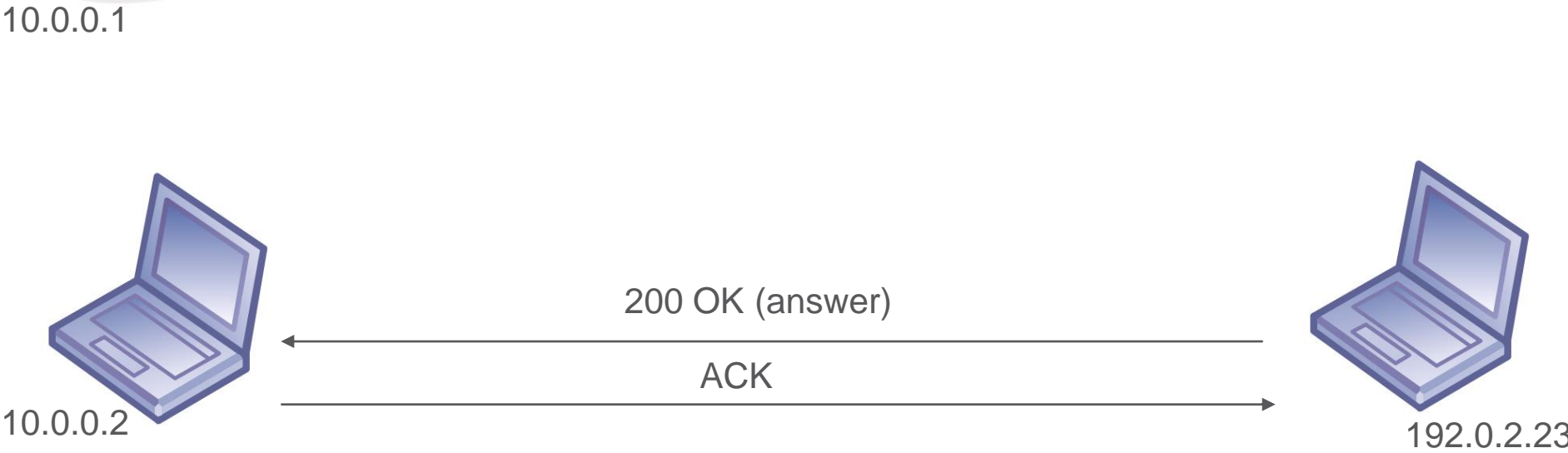
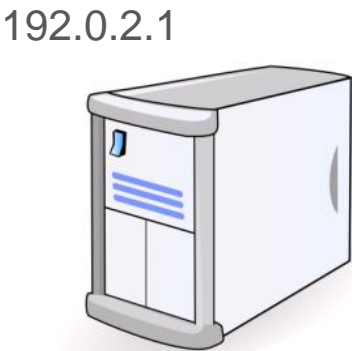


192.0.2.23

Host candidate:  
10.0.0.2 : 20000  
Server reflexive:  
192.0.2.1 : 25000  
Relayed:  
192.0.2.2 : 30000



**Host candidate:**  
~~192.0.2.23 : 35000~~  
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192.0.2.2



192.0.2.22



Host candidate:

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Host candidate:

10.0.0.2 : 20000

Server reflexive:

192.0.2.1 : 25000

Relayed:

192.0.2.2 : 30000

192.0.2.1



Binding

Request

Binding Response

M: 192.0.2.1 : 25000

Binding Response

M: 192.0.2.1 : 25000

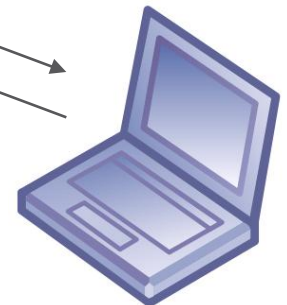
10.0.0.1

Binding

Request



10.0.0.2



192.0.2.23

192.0.2.2



192.0.2.22



Host candidate:  
192.0.2.23 : 35000  
Relayed:  
192.0.2.22 : 45000

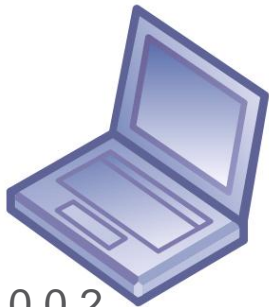
Host candidate:  
10.0.0.2 : 20000  
Server reflexive:  
192.0.2.1 : 25000  
Relayed:  
192.0.2.2 : 30000

192.0.2.1



10.0.0.1  
Binding  
Request

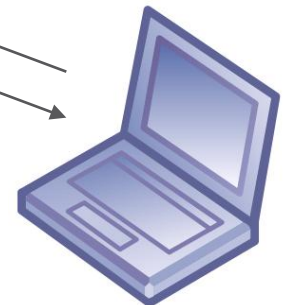
Binding Response  
M: 192.0.2.23 : 35000



10.0.0.2

Binding  
Request

Binding Response  
M: 192.0.2.23 : 35000



192.0.2.23

192.0.2.2



192.0.2.22



Host candidate:

192.0.2.23 : 35000

Relayed:

192.0.2.22 : 45000

Host candidate:

10.0.0.2 : 20000

Server reflexive:

192.0.2.1 : 25000

Relayed:

192.0.2.2 : 30000

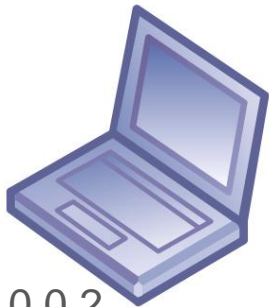
192.0.2.1



10.0.0.1

Binding

Request



10.0.0.2

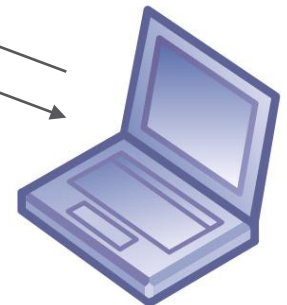
Binding Response

M: 192.0.2.23 : 35000

Binding Request  
USE-CANDIDATE

Binding Response

M: 192.0.2.23 : 35000



192.0.2.23

192.0.2.2



192.0.2.22



Host candidate:

192.0.2.23 : 35000

Relayed:

192.0.2.22 : 45000

Host candidate:

10.0.0.2 : 20000

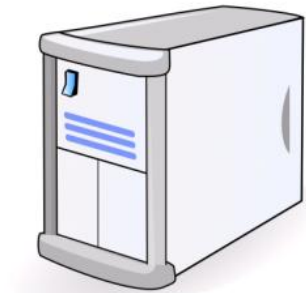
Server reflexive:

192.0.2.1 : 25000

Relayed:

192.0.2.2 : 30000

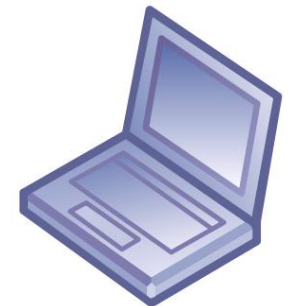
192.0.2.1



10.0.0.1



10.0.0.2



192.0.2.23

INVITE (offer)

200 OK (answer)

ACK

192.0.2.2



192.0.2.22



Host candidate:

192.0.2.23 : 35000

Relayed:

192.0.2.22 : 45000

Host candidate:

10.0.0.2 : 20000

Server reflexive:

192.0.2.1 : 25000

Relayed:

192.0.2.2 : 30000

192.0.2.1



10.0.0.1



10.0.0.2



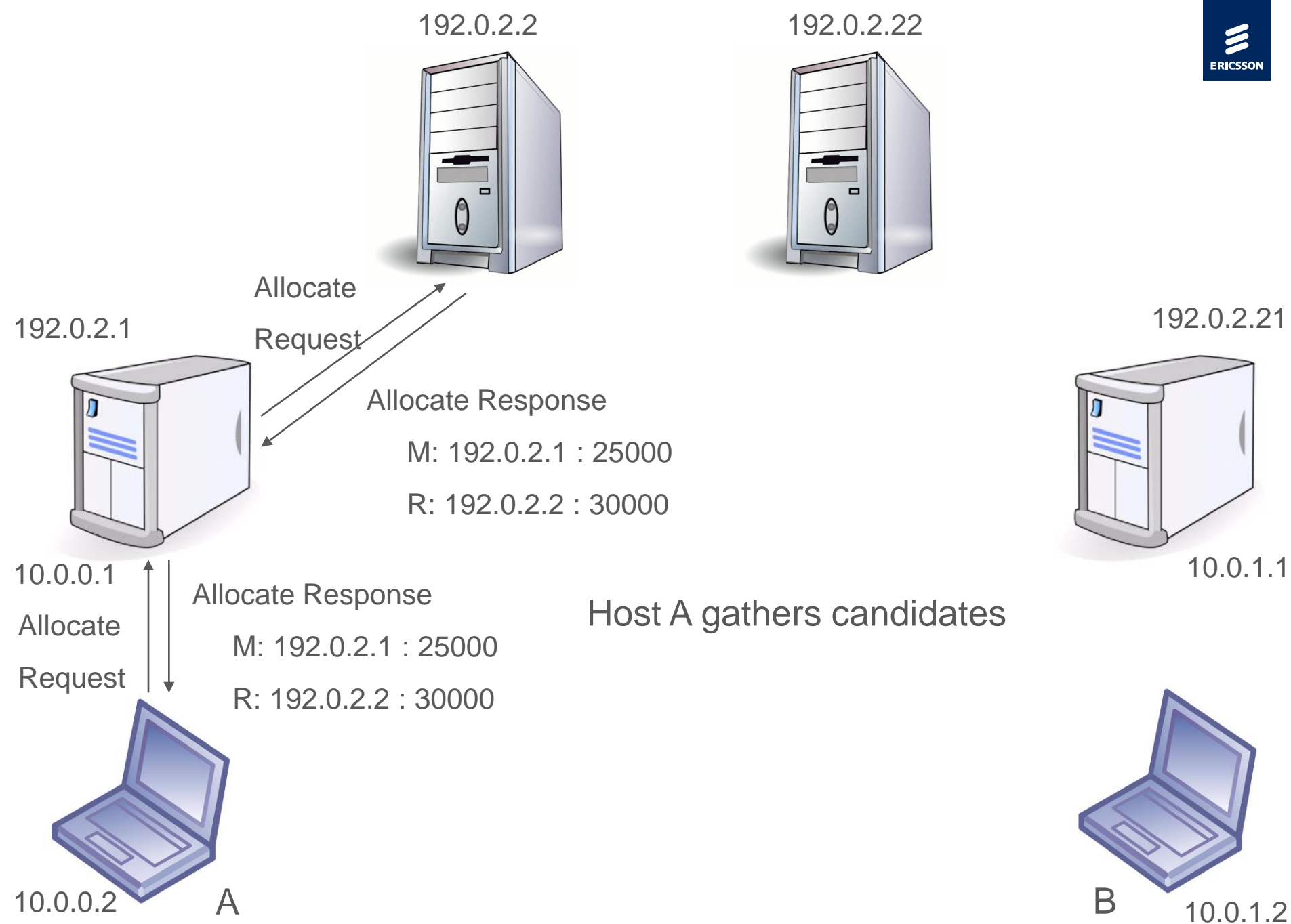
192.0.2.23

# ICE Example (2)

---

- › Both endpoints are behind NATs
- › Endpoints use TURN servers





Host candidate:  
10.0.0.2 : 20000  
Server reflexive:  
192.0.2.1 : 25000  
Relayed:  
192.0.2.2 : 30000

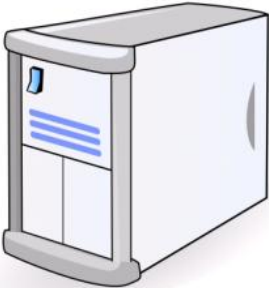
192.0.2.2



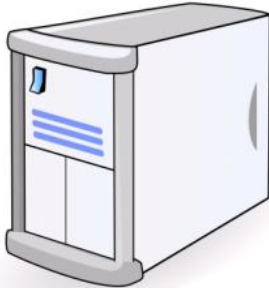
192.0.2.22



192.0.2.1



192.0.2.21



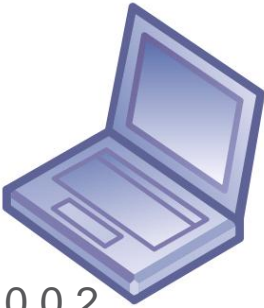
10.0.0.1

Allocate Response

M: 192.0.2.1 : 25000  
R: 192.0.2.2 : 30000

... and forms a candidate list  
that is sent to host B

10.0.1.1



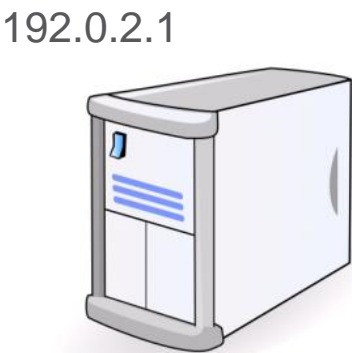
10.0.0.2

INVITE (offer)



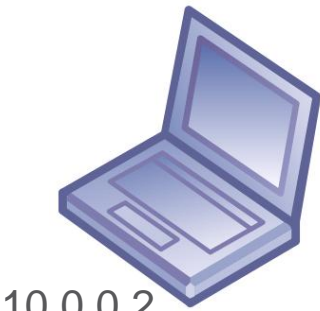
10.0.1.2

Host candidate:  
 10.0.0.2 : 20000  
 Server reflexive:  
 192.0.2.1 : 25000  
 Relayed:  
 192.0.2.2 : 30000



10.0.0.1

Host B gathers candidates



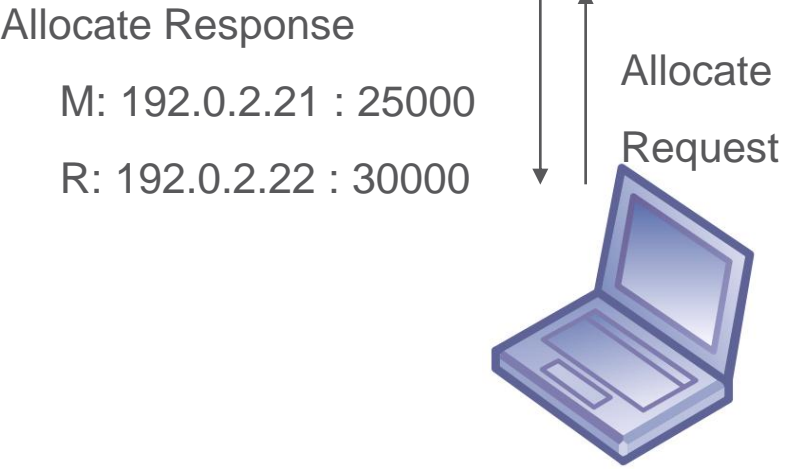
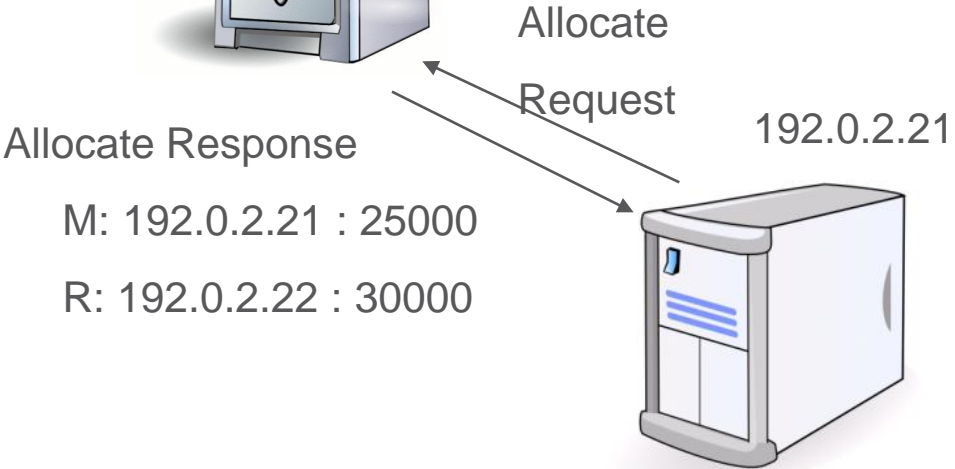
10.0.0.2



192.0.2.2



192.0.2.22

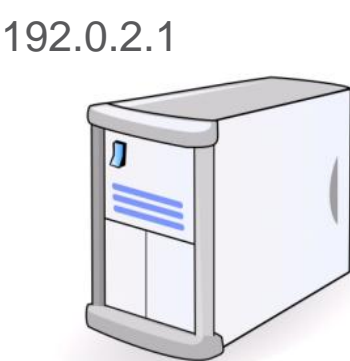


10.0.1.2

Host candidate:  
 10.0.0.2 : 20000  
 Server reflexive:  
 192.0.2.1 : 25000  
 Relayed:  
 192.0.2.2 : 30000



Host candidate:  
 10.0.1.2 : 20000  
 Server reflexive:  
 192.0.2.21 : 25000  
 Relayed:  
 192.0.2.22 : 30000



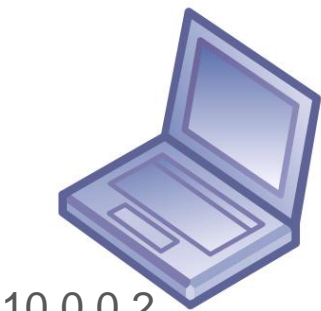
10.0.0.1

10.0.1.1

Allocate Response

M: 192.0.2.21 : 25000  
 R: 192.0.2.22 : 30000

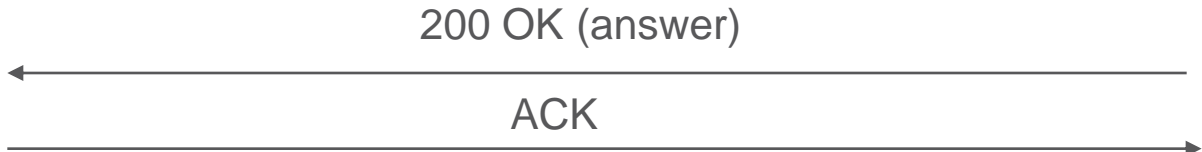
... and sends them to host A



10.0.0.2



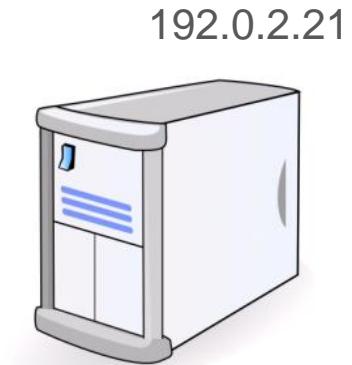
10.0.1.2



Host candidate:  
10.0.0.2 : 20000  
Server reflexive:  
192.0.2.1 : 25000  
Relayed:  
192.0.2.2 : 30000



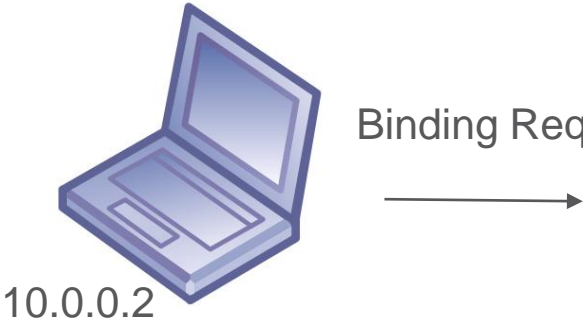
Host candidate:  
10.0.1.2 : 20000  
Server reflexive:  
192.0.2.21 : 25000  
Relayed:  
192.0.2.22 : 30000



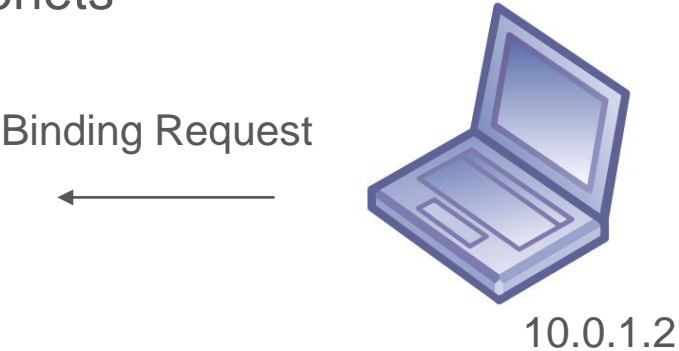
10.0.0.1

10.0.1.1

Connectivity checks sent to  
host candidates fail due to  
hosts being in different subnets



Packets Dropped



192.0.2.2



192.0.2.22



Host candidate:

10.0.1.2 : 20000

Server reflexive:

192.0.2.21 : 25000

Relayed:

192.0.2.22 : 30000

Host candidate:

10.0.0.2 : 20000

Server reflexive:

192.0.2.1 : 25000

Relayed:

192.0.2.2 : 30000

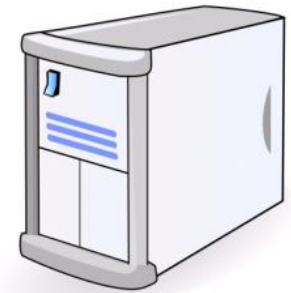
192.0.2.1



Binding Request

Packet Dropped

192.0.2.21



10.0.1.1

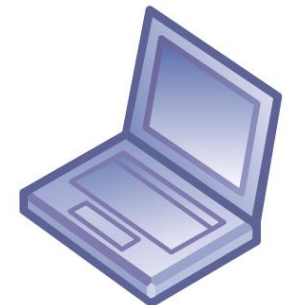
10.0.0.1

Binding  
Request



10.0.0.2

B's NAT implements address  
dependent filtering



10.0.1.2

Host candidate:  
10.0.0.2 : 20000  
Server reflexive:  
192.0.2.1 : 25000  
Relayed:  
192.0.2.2 : 30000

192.0.2.2



192.0.2.22



Host candidate:  
10.0.1.2 : 20000  
Server reflexive:  
192.0.2.21 : 25000  
Relayed:  
192.0.2.22 : 30000

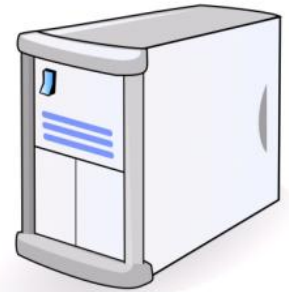
192.0.2.1



Binding Request

Binding Response

192.0.2.21



10.0.0.1

Binding  
Request

Binding Response



10.0.0.2

Also A's NAT implements address dependent filtering, but has now a binding for B's mapped address (due to the earlier connectivity check)

10.0.1.1  
Binding  
Request

Resp.



10.0.1.2



Host candidate:  
 10.0.0.2 : 20000  
 Server reflexive:  
 192.0.2.1 : 25000  
 Relayed:  
 192.0.2.2 : 30000

192.0.2.2



192.0.2.22



Host candidate:  
 10.0.1.2 : 20000  
 Server reflexive:  
 192.0.2.21 : 25000  
 Relayed:  
 192.0.2.22 : 30000

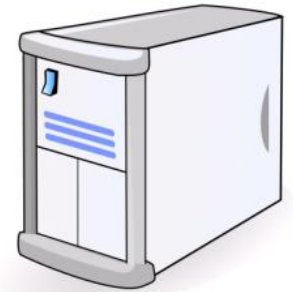
192.0.2.1



Binding Response

Binding Request

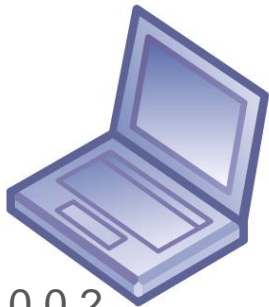
192.0.2.21



10.0.0.1

Binding  
 Resp.

Binding Request



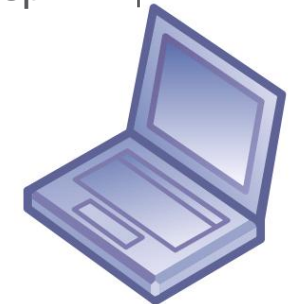
10.0.0.2

A performs a triggered check  
 which now succeeds (there is  
 a binding in B's NAT too)

10.0.1.1

Binding  
 Resp.

Req.



10.0.1.2



Host candidate:  
 10.0.0.2 : 20000  
 Server reflexive:  
 192.0.2.1 : 25000  
 Relayed:  
 192.0.2.2 : 30000

192.0.2.2

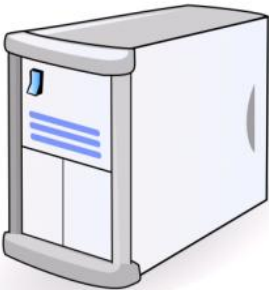


192.0.2.22



Host candidate:  
 10.0.1.2 : 20000  
 Server reflexive:  
 192.0.2.21 : 25000  
 Relayed:  
 192.0.2.22 : 30000

192.0.2.1

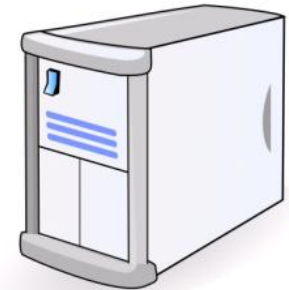


Binding  
Request

Binding Response

Data  
Indication

192.0.2.21



Send  
Indication

10.0.0.1

Binding  
Request

Binding Response



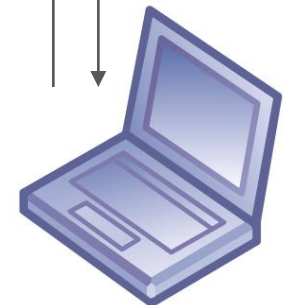
10.0.0.2

Further checks may be done  
 until stopping criteria is met

Send  
Indication

Data  
Indication

10.0.1.1



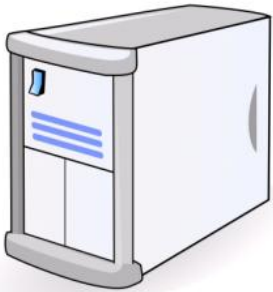
10.0.1.2

Host candidate:  
 10.0.0.2 : 20000  
 Server reflexive:  
 192.0.2.1 : 25000  
 Relayed:  
 192.0.2.2 : 30000



Host candidate:  
 10.0.1.2 : 20000  
 Server reflexive:  
 192.0.2.21 : 25000  
 Relayed:  
 192.0.2.22 : 30000

192.0.2.1



192.0.2.21



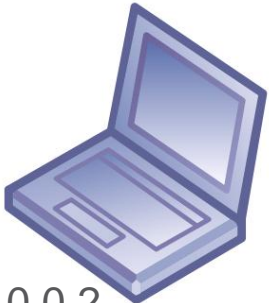
Binding Response

Binding Request  
 USE-CANDIDATE

10.0.0.1

Binding  
 Resp.

Binding Request  
 USE-CANDIDATE



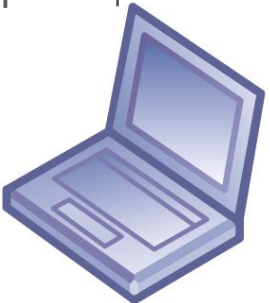
10.0.0.2

Finally, controlling agent  
 nominates the highest priority  
 pair for use (and data can be  
 sent and received using the  
 server reflexive candidates)

10.0.1.1

Binding  
 Resp.

Req.



10.0.1.2

# Other NAT Traversal Methods

---

- › Middle box communications
  - Signaling with NATs to create proper state in them
  - UPnP, PCP, SOCKS, MIDCOM, etc.
- › UDP/TCP hole punching
  - Number of variations for creating NAT bindings by sending packets to different addresses
  - One of the techniques used by ICE
- › Transparently for applications
  - Teredo (own variant of UDP hole punching and IPv6 over UDP)
  - Host Identity Protocol (uses ICE and UDP encapsulation)
- › ...

# Comparing NAT Traversal Mechanisms

---

## › ICE

- Very effective for UDP
- TCP more problematic (see draft-ietf-mmusic-ice-tcp)

## › HIP

- Uses ICE for creating a "UDP tunnel" through which any (IP) protocol can be run
- "As effective as ICE but for any protocol"

## › Teredo

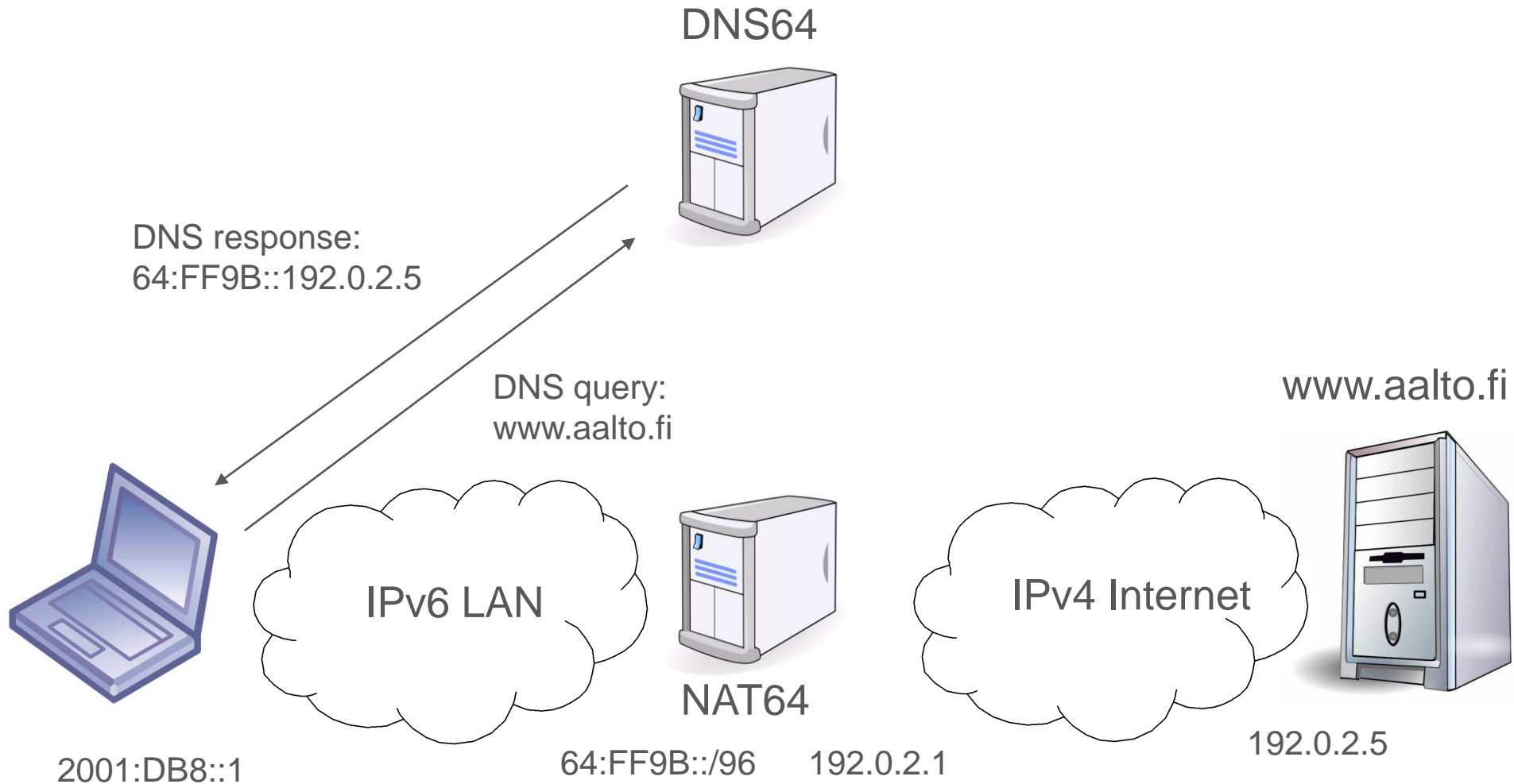
- Similar UDP tunnel as with HIP
- First version (RFC 4380) had fairly limited success
- With extensions (RFC 6081) supports more NAT types; but still lower success probability than with ICE

# NAT64 and DNS64

---

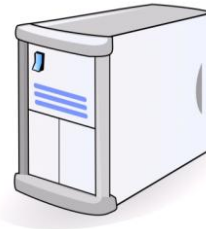
- › A client in IPv6-only network may need to communicate with a server in the IPv4-Internet
- › NAT64 (RFC 6146) translates packets between IPv6 and IPv4
- › DNS64 generates IPv6 addresses for servers that do not have one
  - Uses specific IPv6-prefix for routing traffic via the NAT64
  - Problems with hosts without a DNS entry

# DNS64

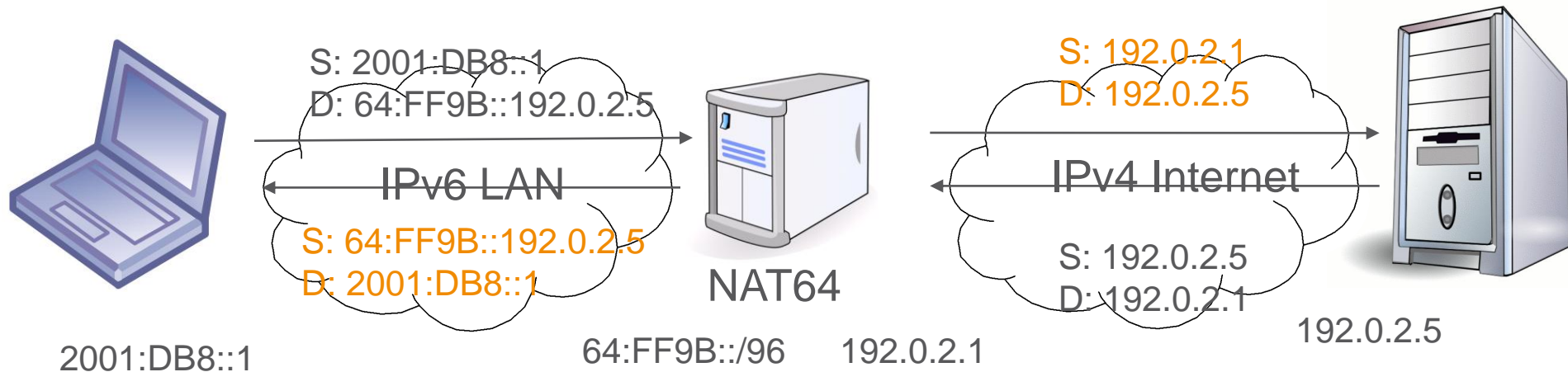


# NAT64

DNS64



www.aalto.fi



# Summary

---

- › NA(P)Ts originally invented to save IPv4 addresses
  - Can serve a whole subnet with a single IP address
  - Works (fairly well) for client-server, but breaks P2P connectivity
- › NATs have different (and often un-deterministic) behavior
  - Endpoint-(in)dependent mapping and/or filtering
  - IP address and port assignment, timeouts, etc.
- › NAT traversal developed to fix connectivity
  - STUN and TURN for server-reflexive and relayed addresses
  - ICE uses STUN and TURN for gathering candidates and running connectivity checks between them; tries various possible combinations and selects the best
- › NAT64 provides IPv4 connectivity when network only provides IPv6



# Questions?



**ERICSSON**